

# Bounding the Treewidth of Outer $k$ -Planar Graphs via Triangulations

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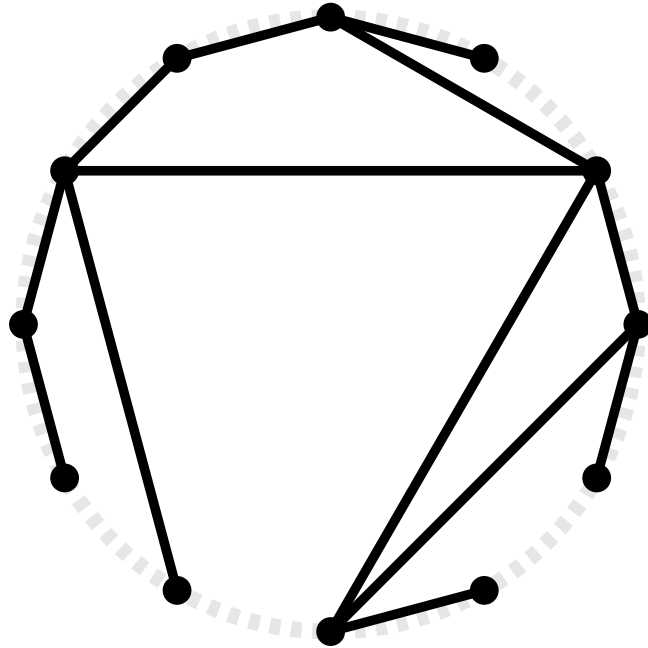
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(University of Manitoba)

**Yuto Okada**  
(Nagoya University)

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(Universität Würzburg)

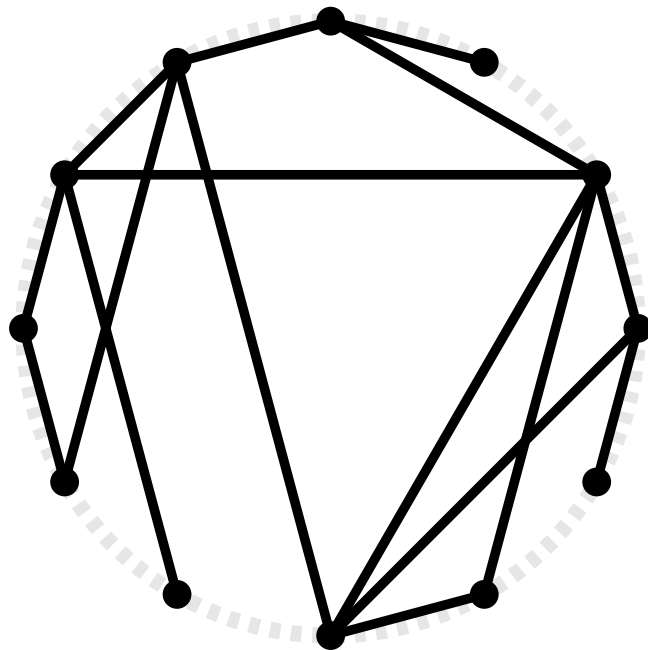
# Outer $k$ -Planar Graphs



## Outerplanar graph

admits a drawing s.t.

- vertices on a circle
- straight-line
- no crossing



## Outer $k$ -planar graph

admits a drawing s.t.

- vertices on a circle
- straight-line
- $k$ -planar

$k = 2$

# Abstract

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## Main Contribution

Any outer  $k$ -planar graph admits a good triangulation.

Improved Upper Bounds ← gives

- Separation Number

$$\begin{array}{ccc} 2k + 3 & \rightarrow & k + 2 \\ \text{[Chaplick et al., GD 2017]} & & \text{(almost) tight} \end{array}$$

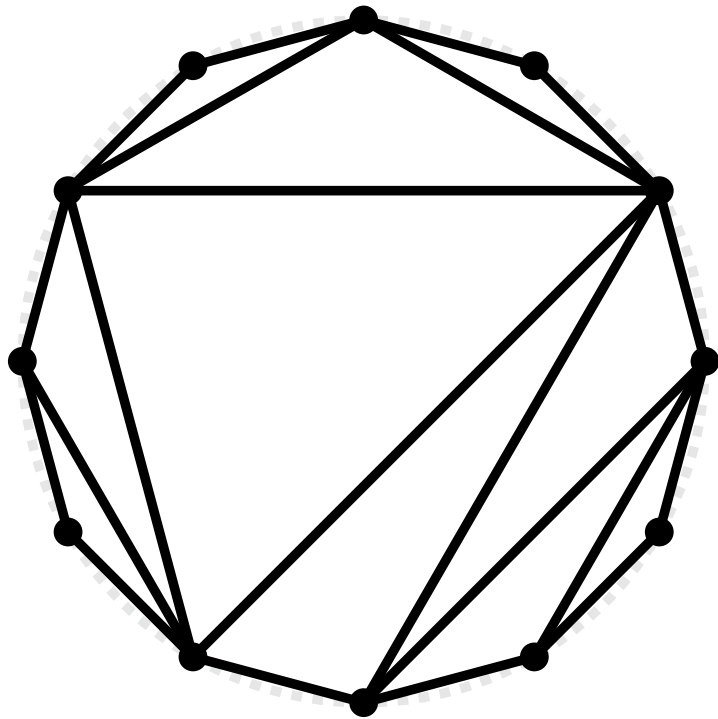
- Treewidth

$$\begin{array}{ccc} 3k + 11 & \rightarrow & 1.5k + 2 \\ \text{[Wood and Telle, GD 2006]} & & \text{(lowerbound: } k + 2) \end{array}$$

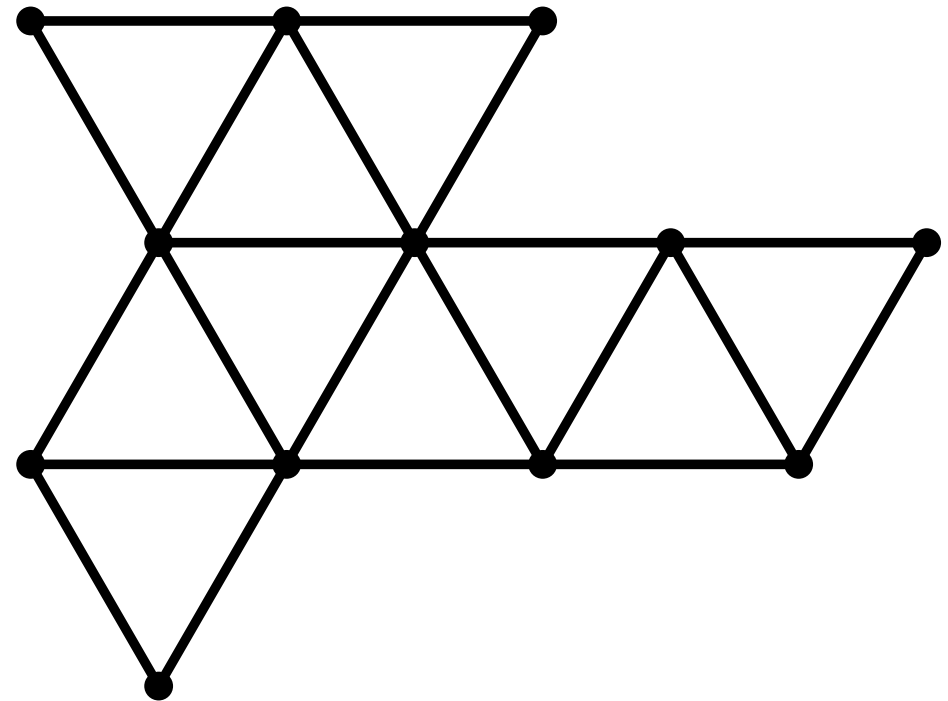
... and some other results.

# Triangulation on Outerplanar Graphs

After adding some edges until it becomes maximal, every inner face will be a triangle.

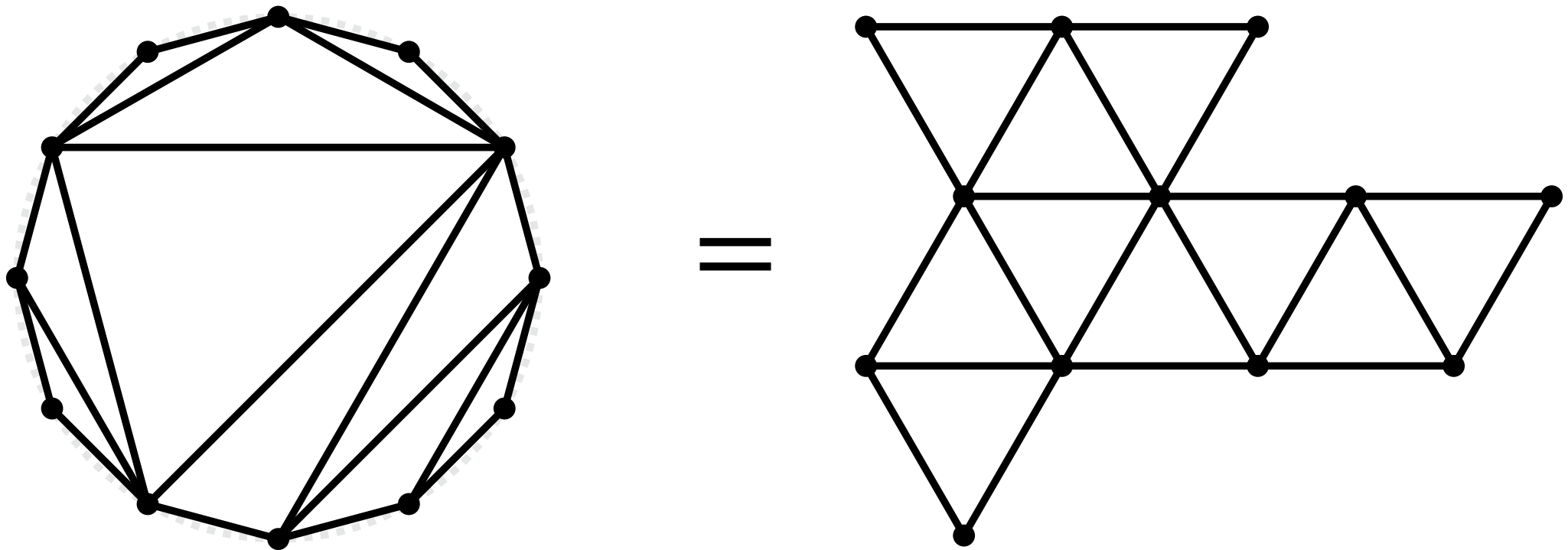


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# Triangulation on Outerplanar Graphs

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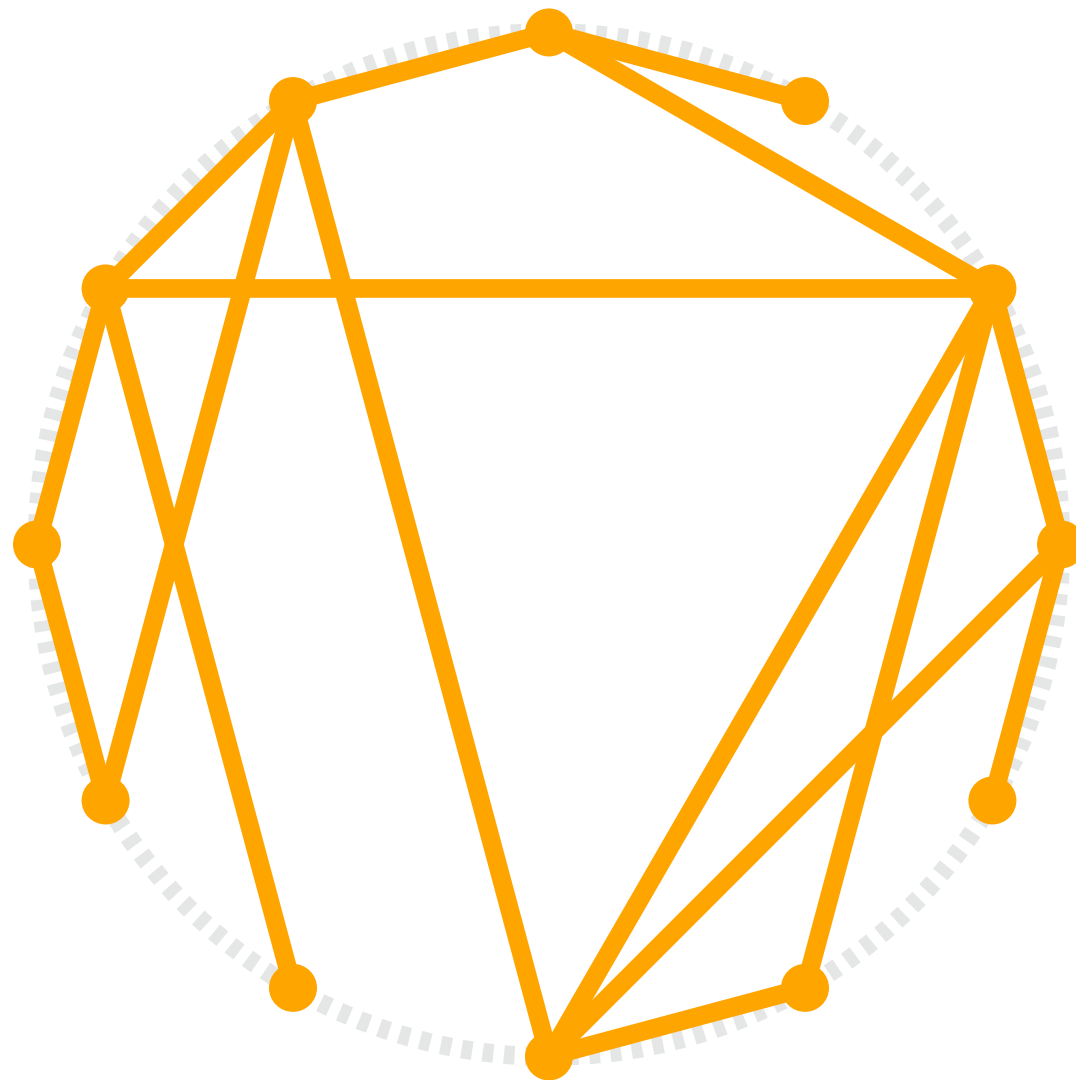


But outer  $k$ -planar graphs may have a crossing...?

# Triangulation on Outer $k$ -Planar Graphs

## Lemma 6

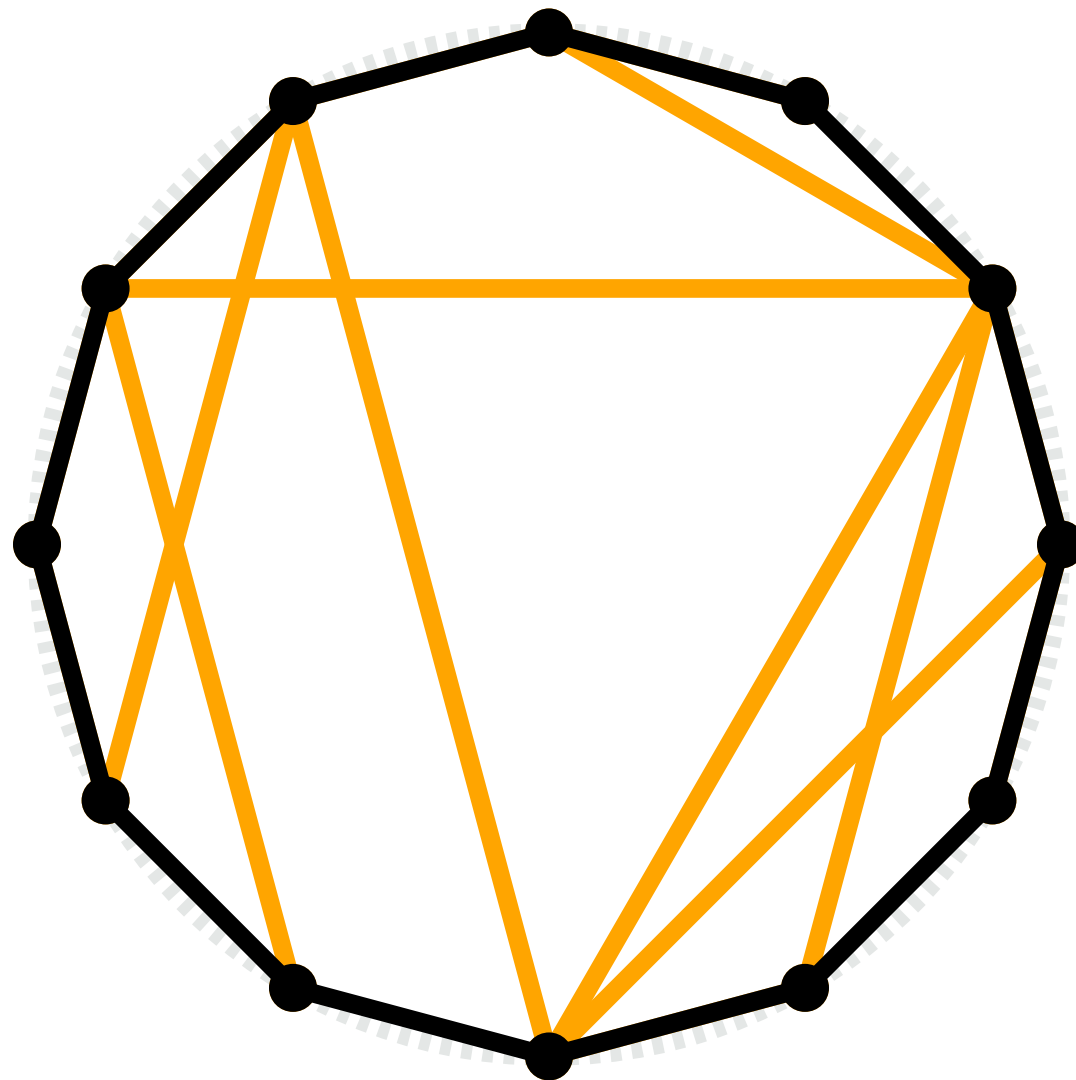
For every  $k \geq 0$ , given an outer  $k$ -planar drawing,



# Triangulation on Outer $k$ -Planar Graphs

## Lemma 6

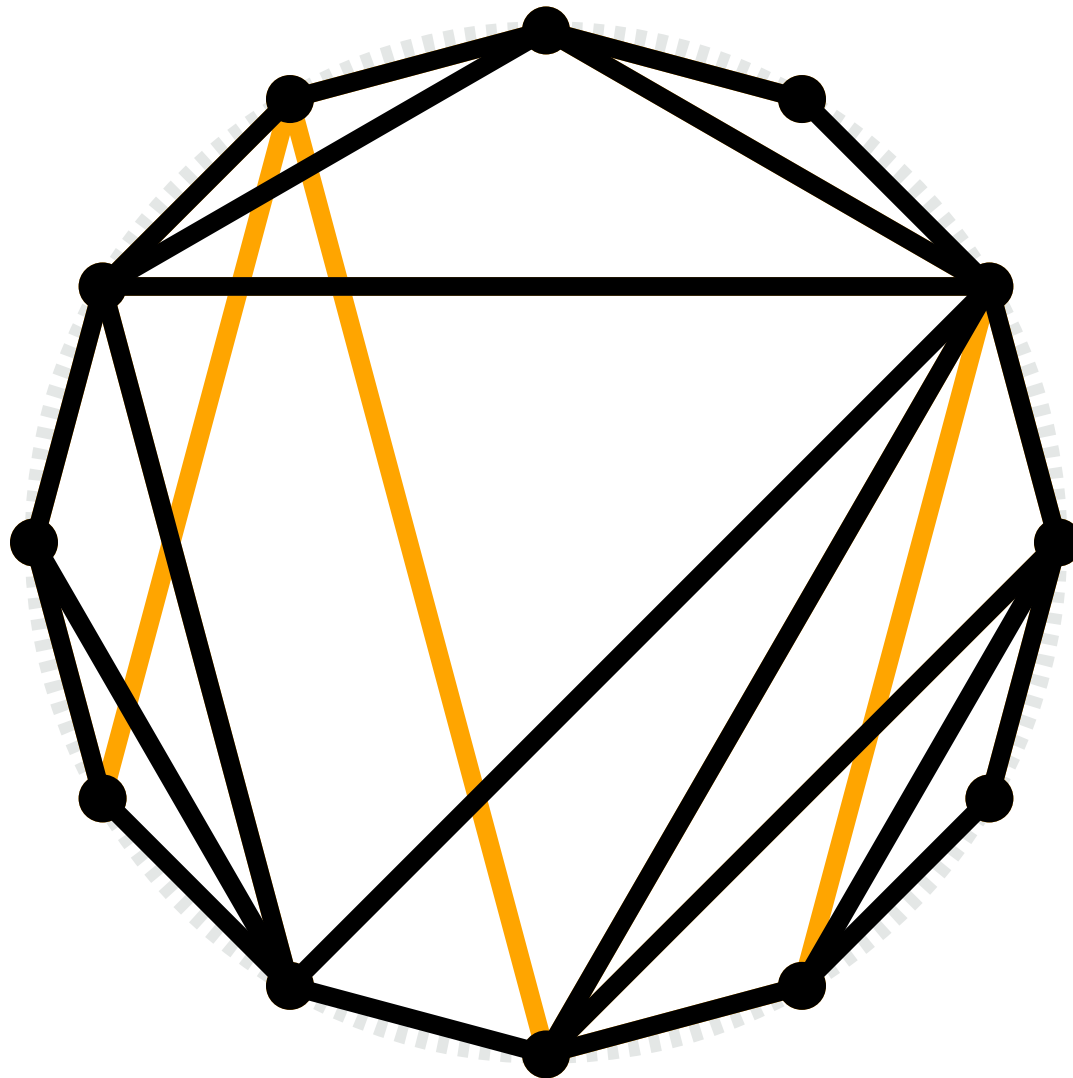
For every  $k \geq 0$ , given an outer  $k$ -planar drawing,  
The outer cycle admits a triangulation s.t.



# Triangulation on Outer $k$ -Planar Graphs

## Lemma 6

For every  $k \geq 0$ , given an outer  $k$ -planar drawing,  
The outer cycle admits a triangulation s.t.  
each edge crosses the original graph at most  $k$  times.



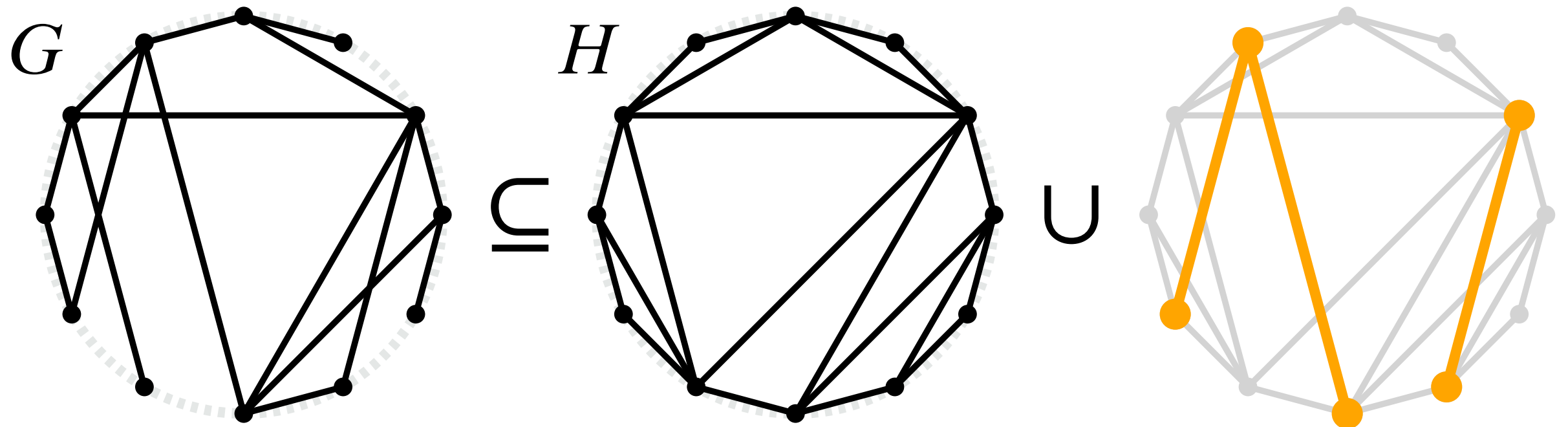


# The Advantage of Triangulation

## Key Point

The triangulation separates the given graph  $G$  into:

- (a subgraph of) maximal outerplane graph  $H$
- **crossing edges** (sparsely distributed!!)



Every edge of  $H$  has **at most  $k$  crossing edges**.

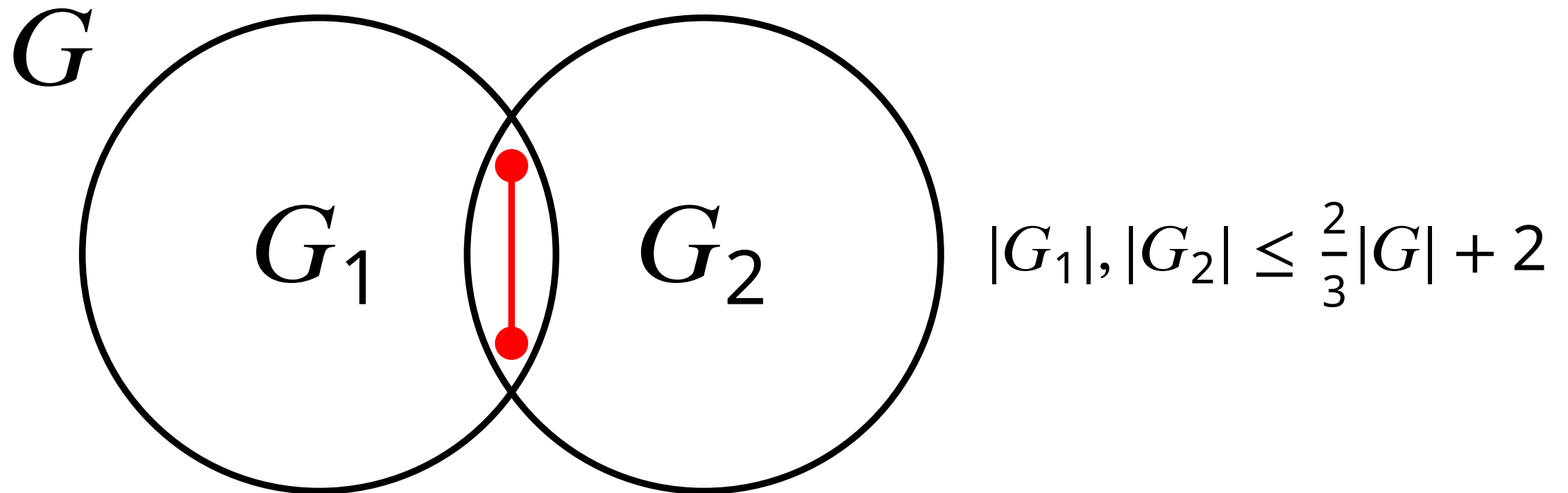
Every triangle of  $H$  has **at most  $3k$  crossing edges**.

→ We can re-use properties of outerplanar graphs.

# Upper Bound on Separation Number

On outerplanar graphs...

Every maximal outerplanar graph is known to have **an edge that is a balanced separator**.

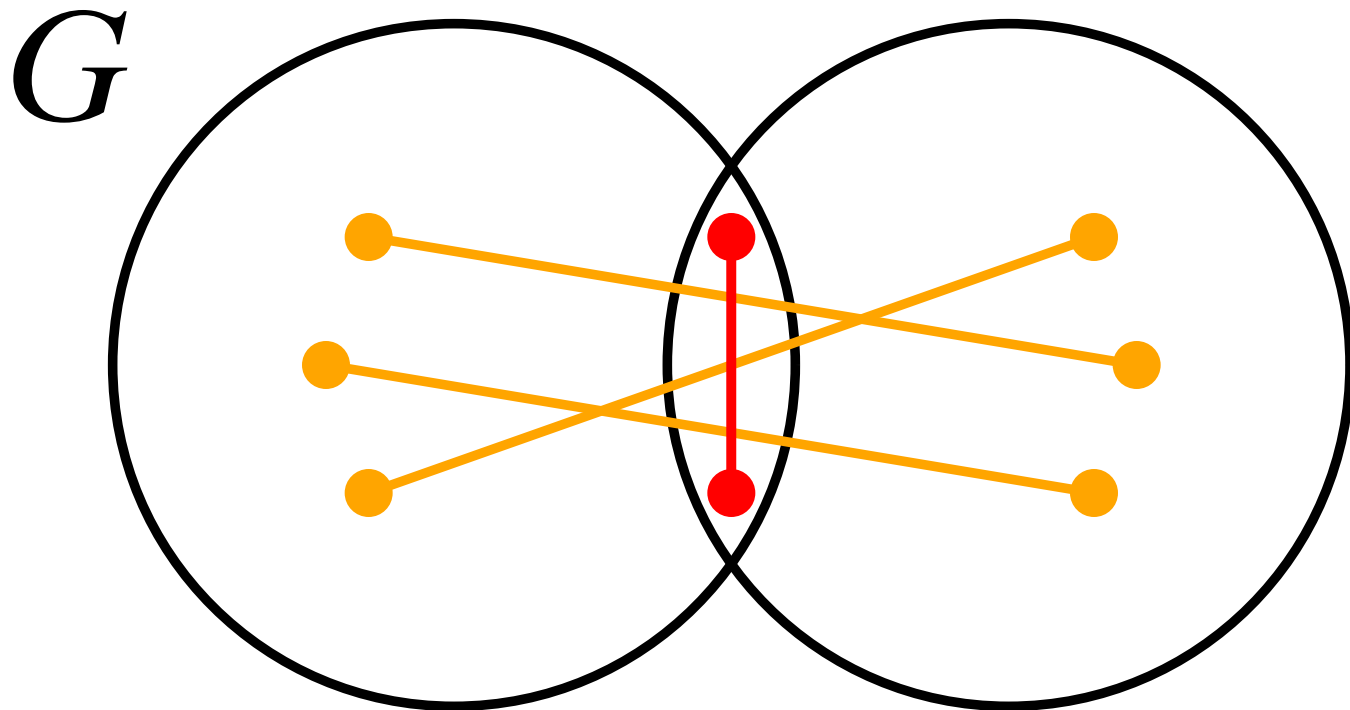


Note: A balanced separator of  $G$  is a vertex set whose removal yields components of size at most  $\frac{2}{3}|G|$ .

# Upper Bound on Separation Number

On outer  $k$ -planar graphs...

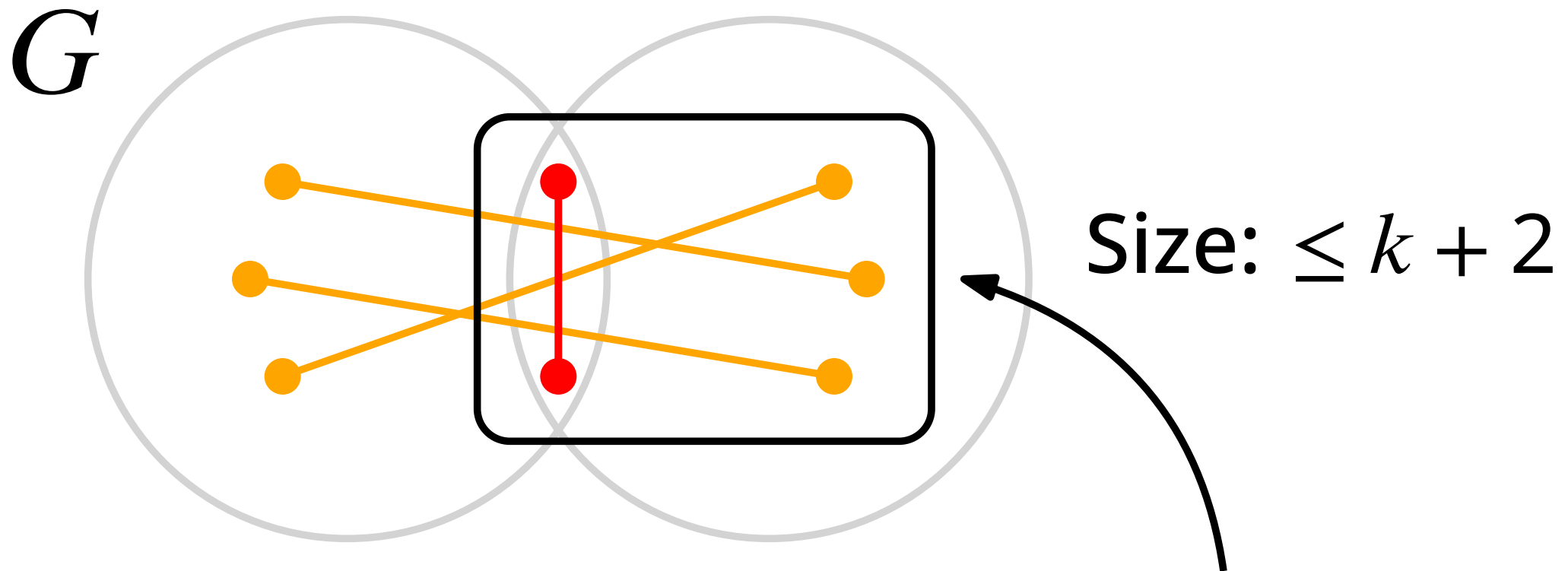
The maximal outerplane graph  $H$  also has  
a **balanced separator edge** with  $\leq k$  crossing edges.



# Upper Bound on Separation Number

On outer  $k$ -planar graphs...

The maximal outerplane graph  $H$  also has  
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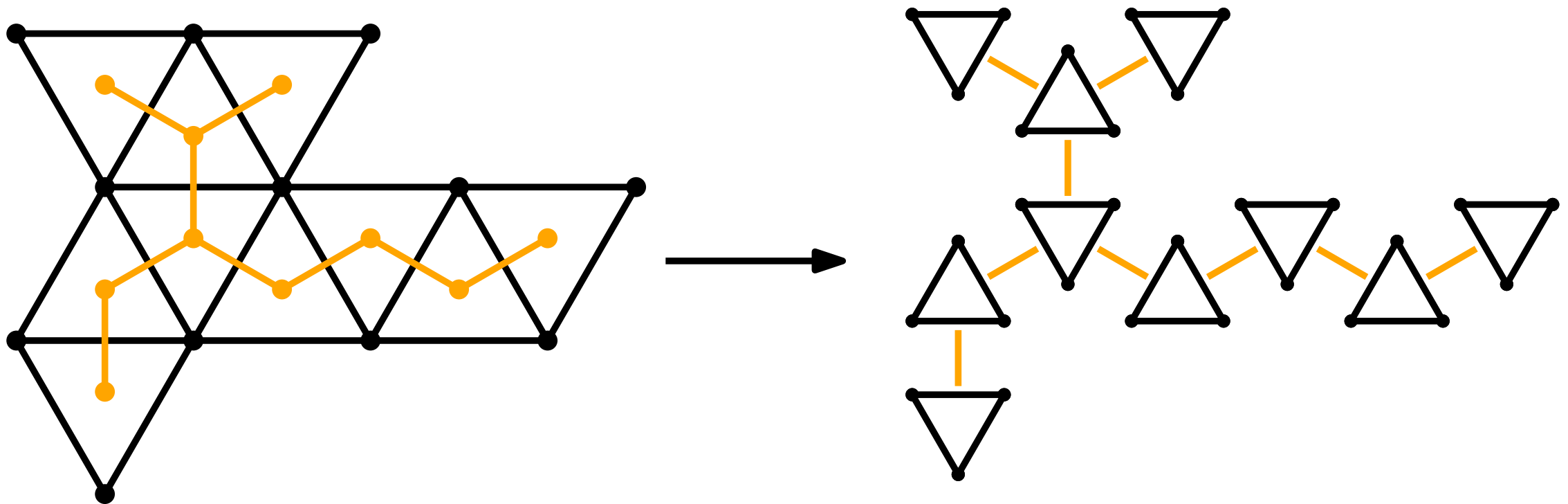


**The edge** and  $k$  **endpoints** form a balanced separator.  
→ separation number at most  $k + 2$ .

# Upper Bound on Treewidth

On outerplanar graphs...

The **weak dual** of a maximal outerplane graph is a tree.

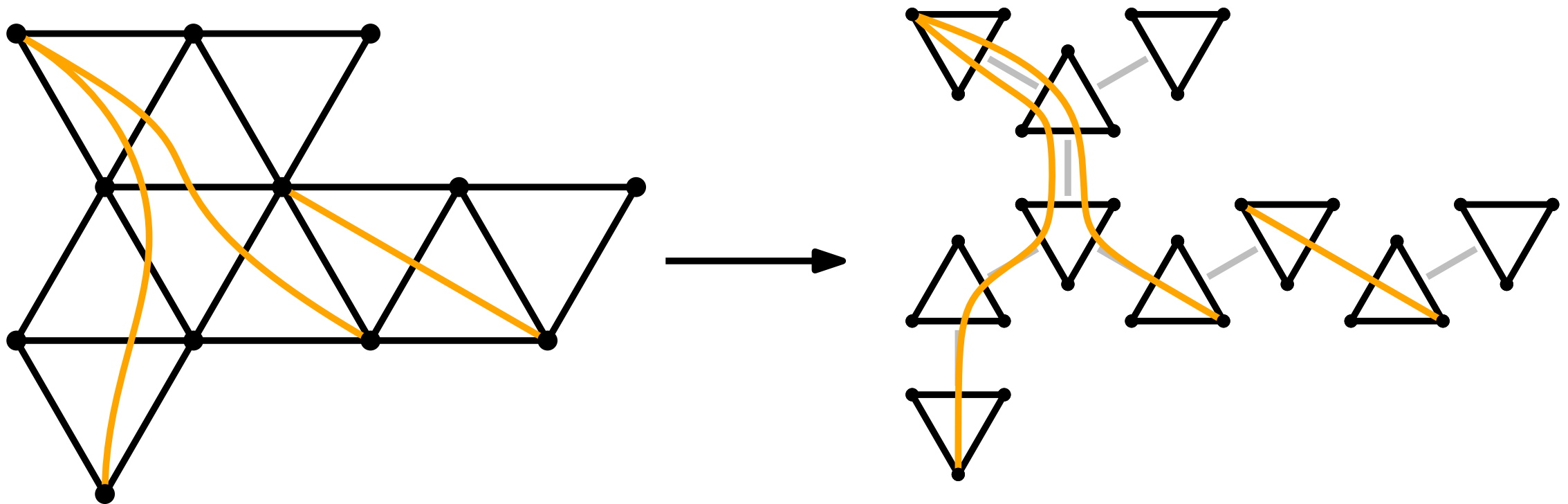


Replacing each vertex with the corresponding triangle yields a tree decomposition of width 2.

# Upper Bound on Treewidth

On outer  $k$ -planar graphs...

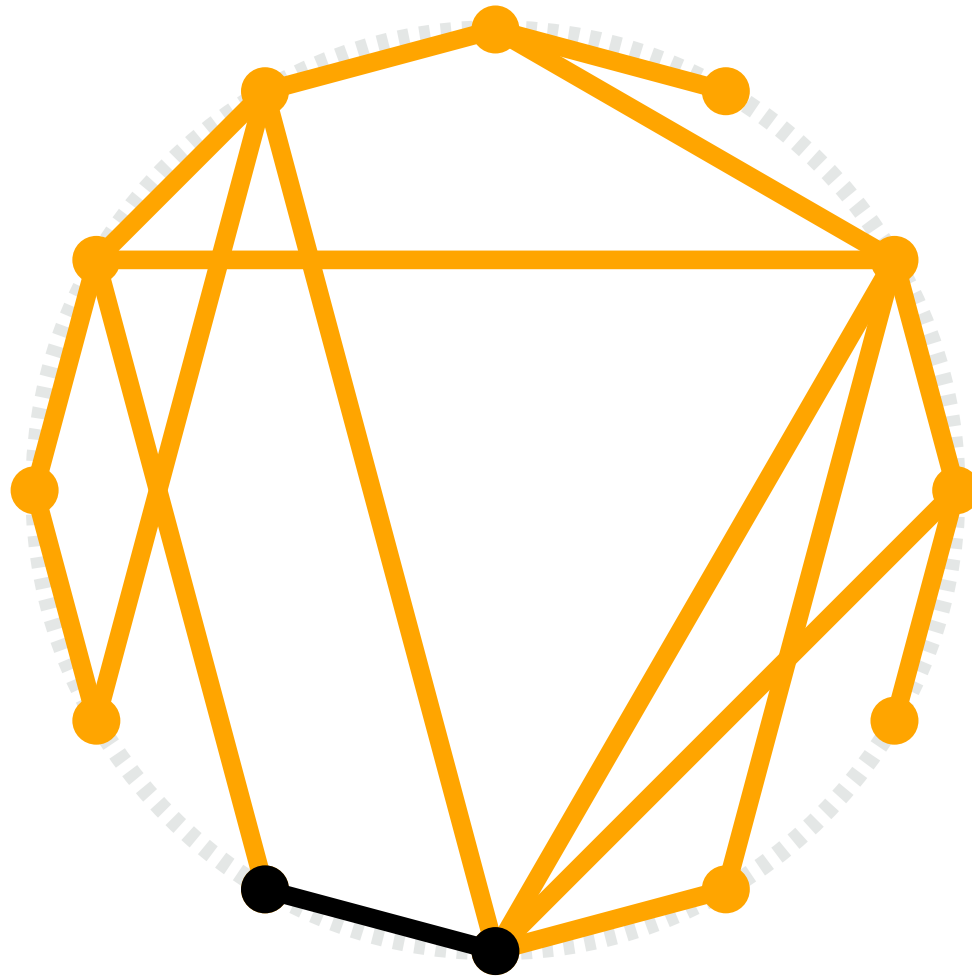
We use a tree decomposition of the graph  $H$ .  
Then we only need to deal with **the crossing edges**.



Each triangle (= bag) has at most  $3k$  crossing edges.  
→ treewidth  $3k + 2$  (naïve), can be improved to  $1.5k + 2$ .

# Construction of the Triangulation

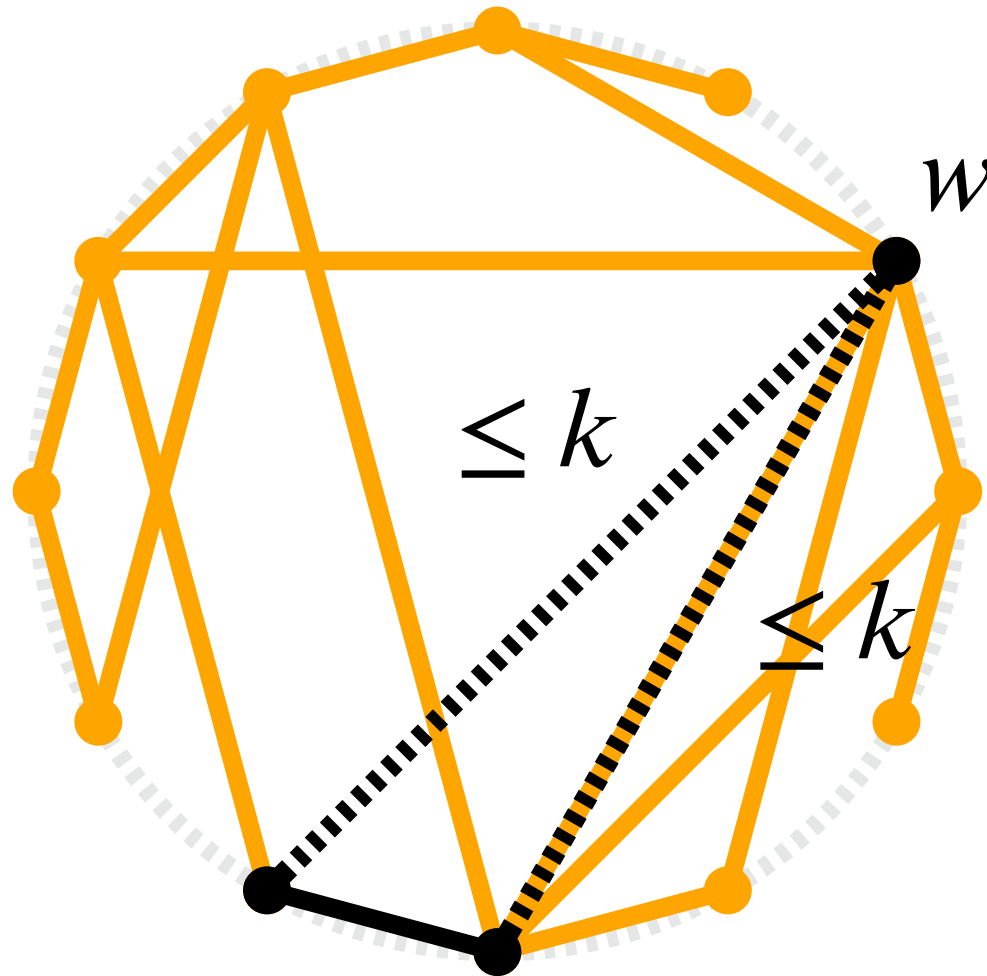
A greedy algorithm yields the triangulation.



1. Take any edge from the outer cycle.
2. There always exists a suitable vertex  $w$ .
3. Create a triangle with the vertex, and recurse.

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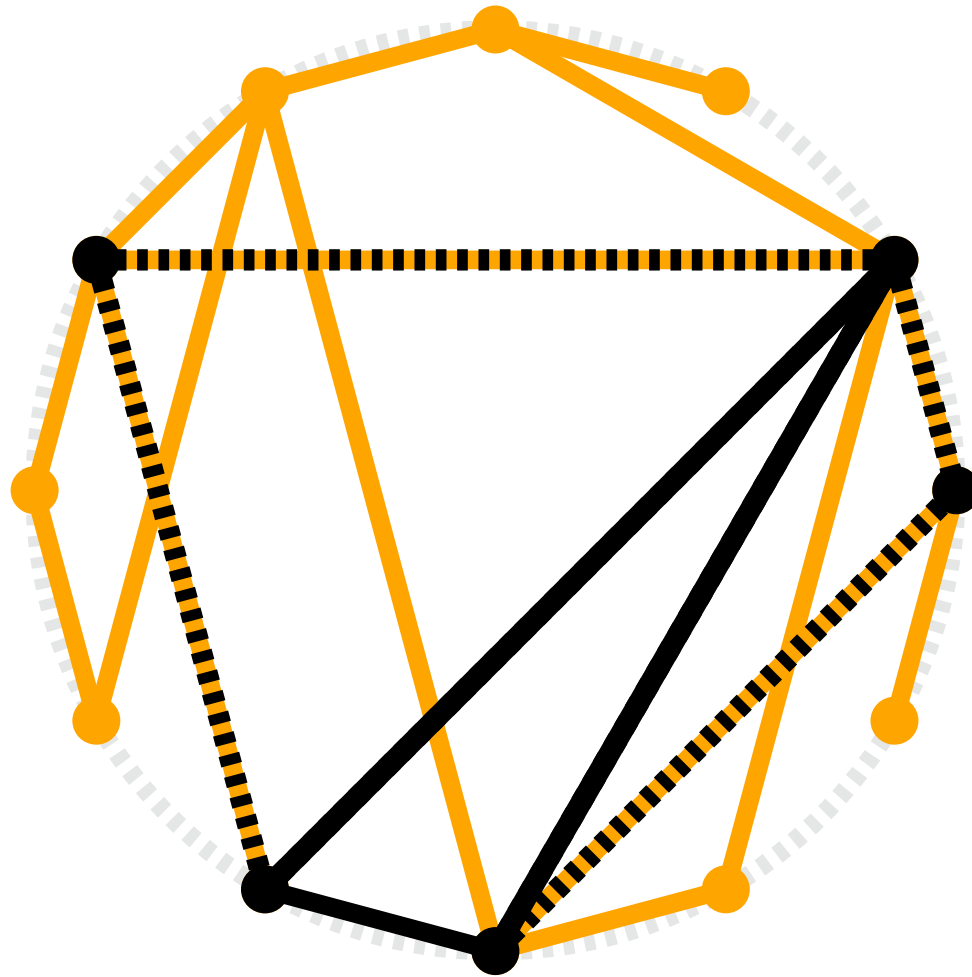


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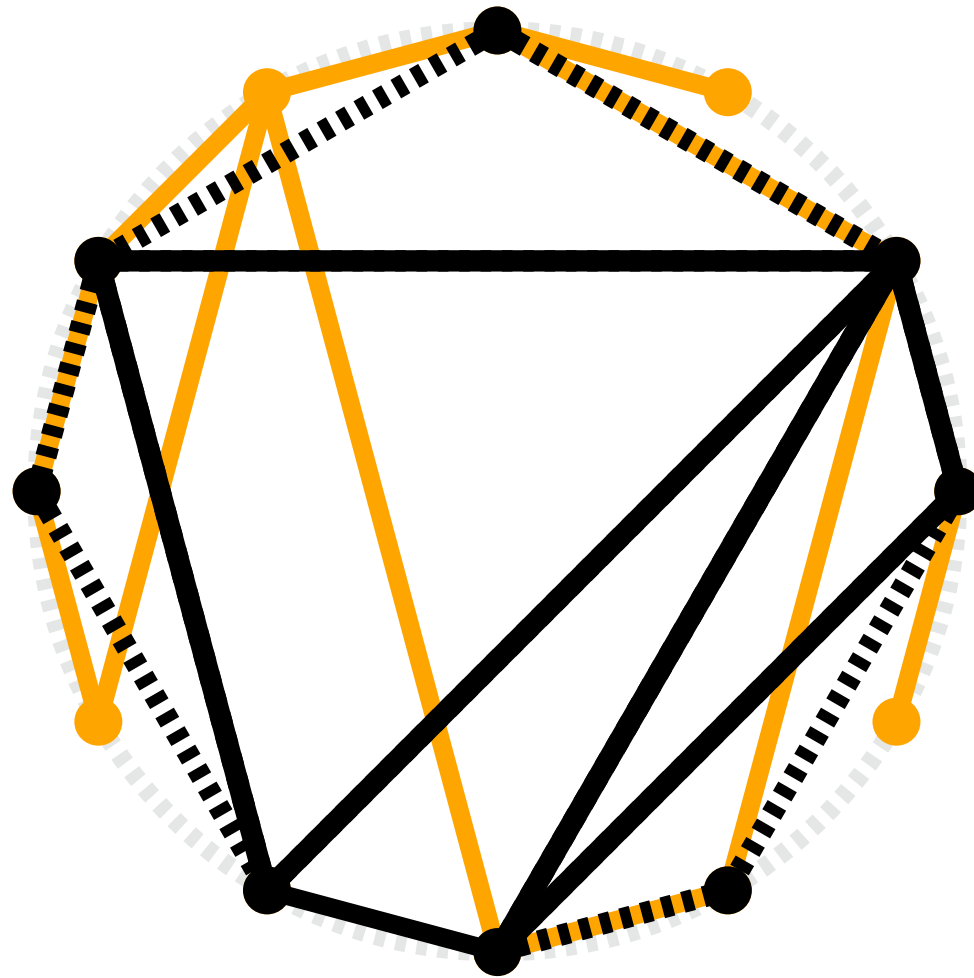
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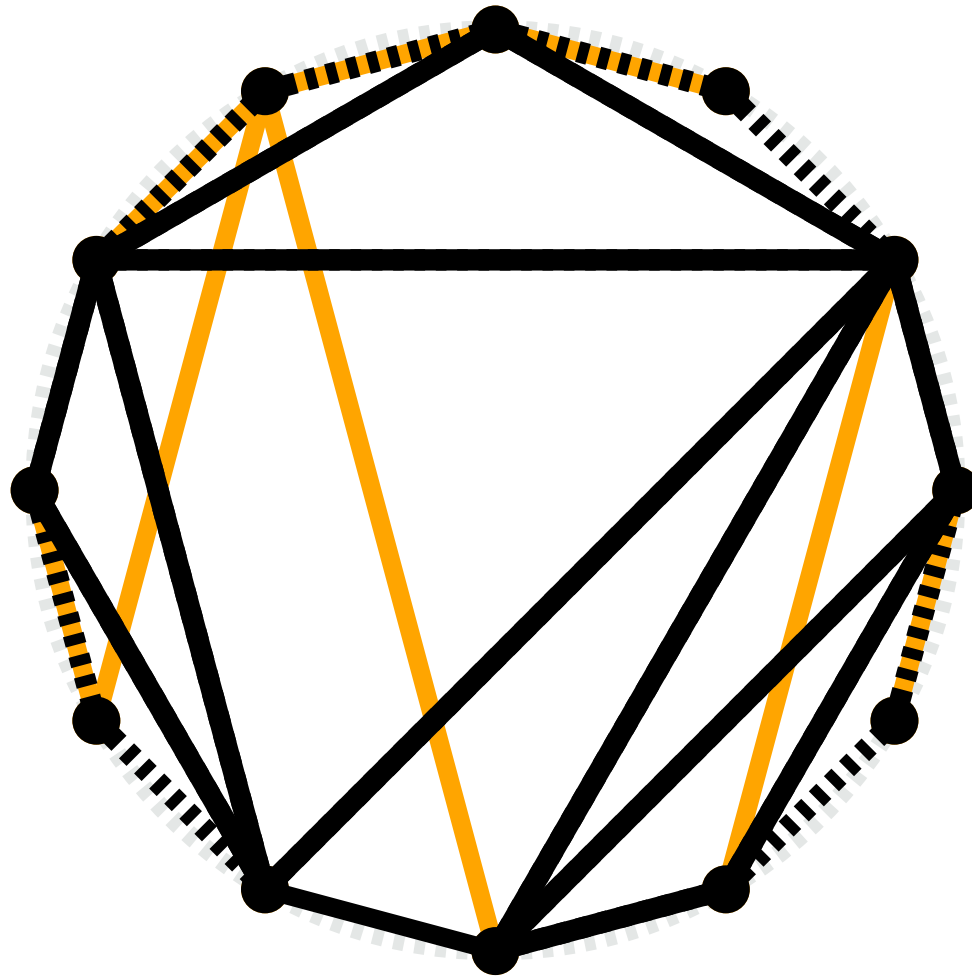
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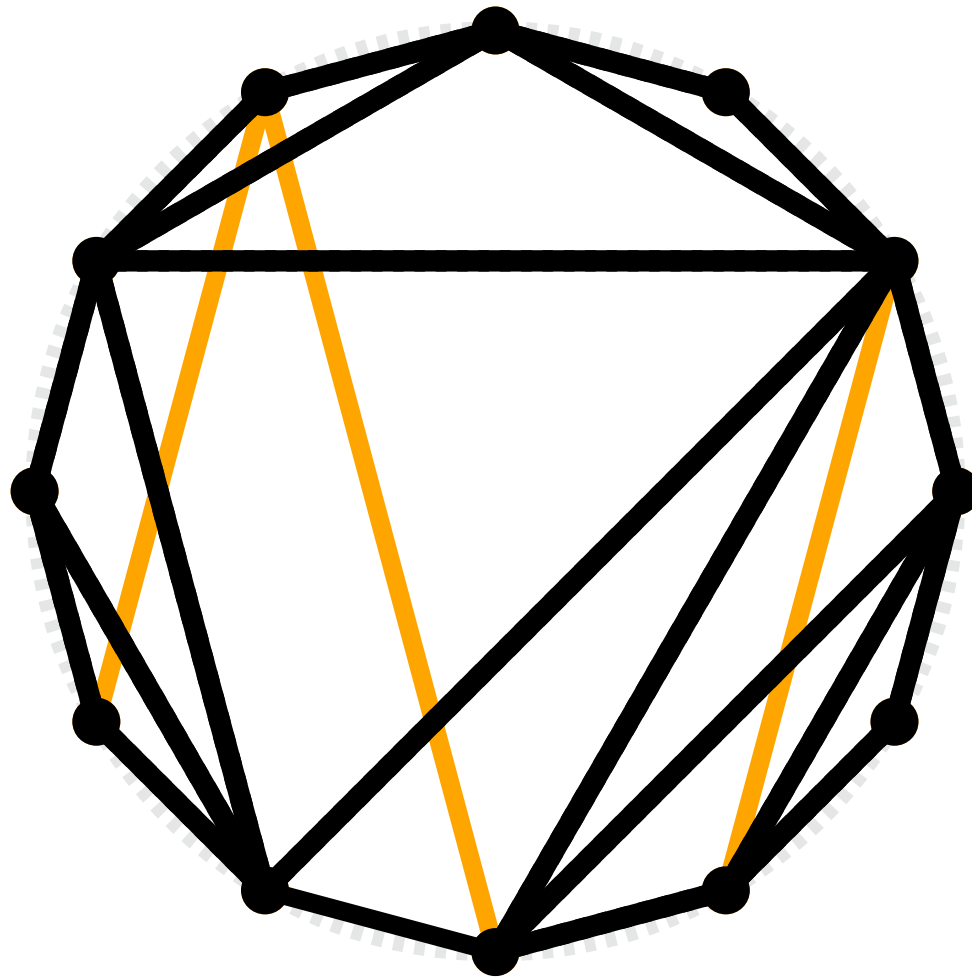
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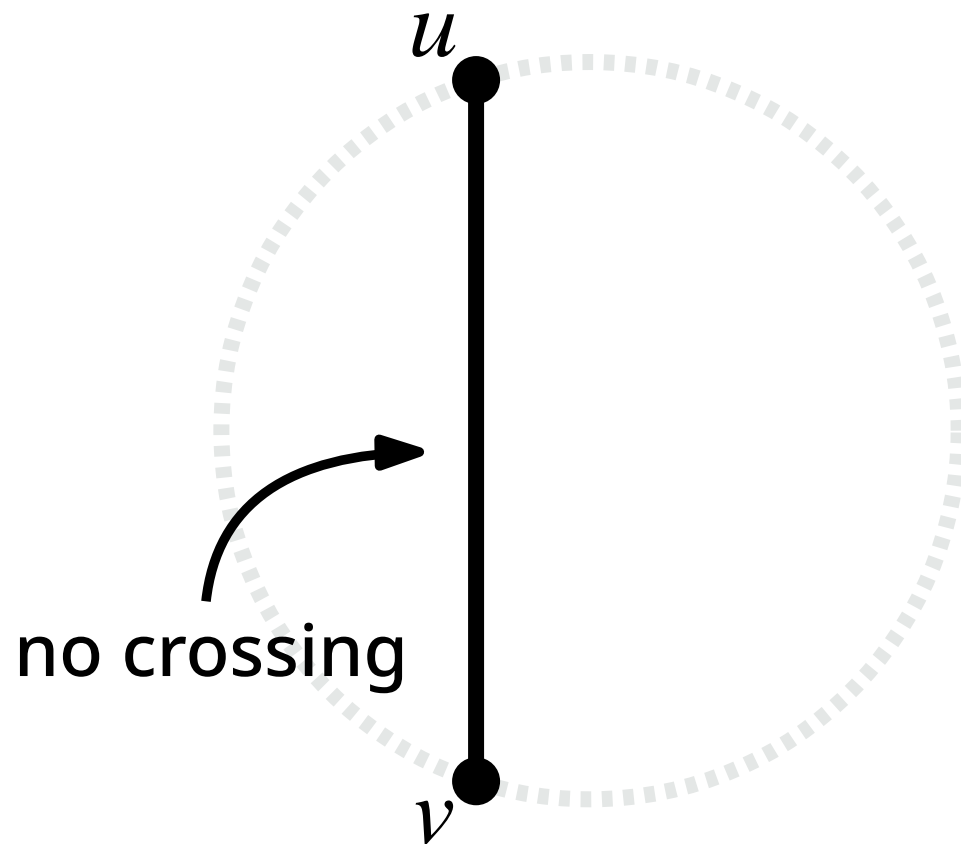
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# Correctness of the Greedy Algorithm

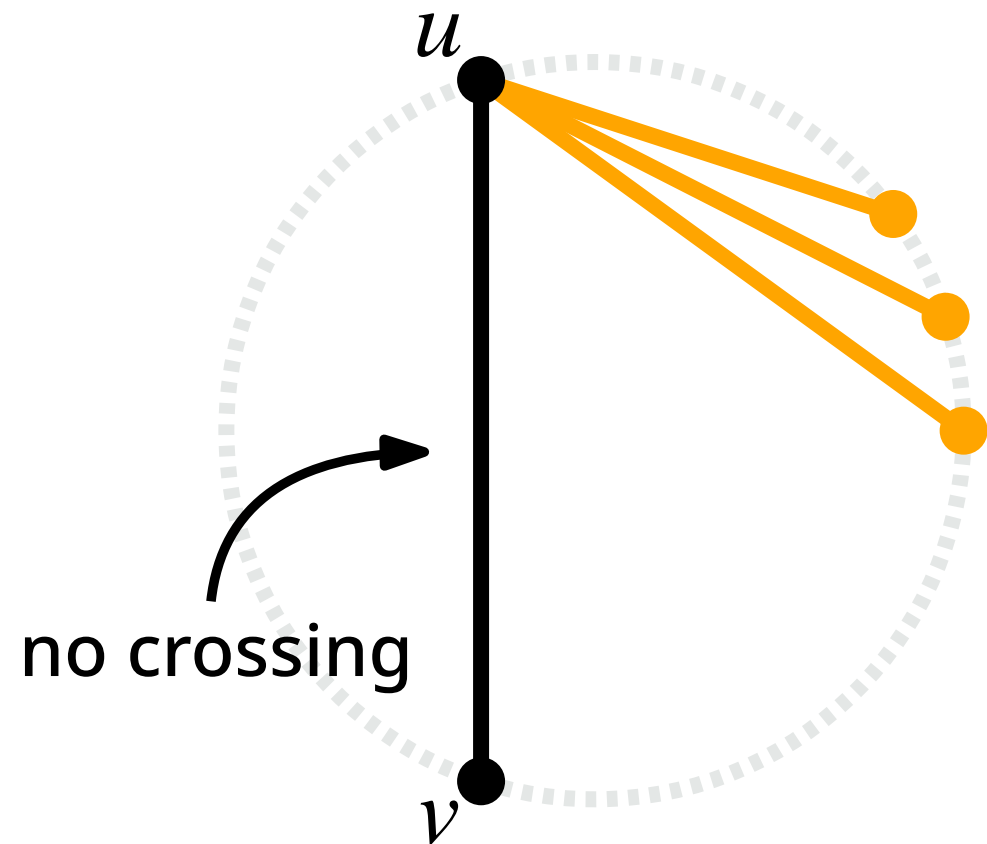
(in Lemma 6)

If  $\{u, v\}$  crosses **the original graph** at most  $k$  times, there always exists a suitable vertex  $w$ .

Proved by case analysis. If  $\{u, v\}$  has no crossing,



(i)  $u$  has no neighbor



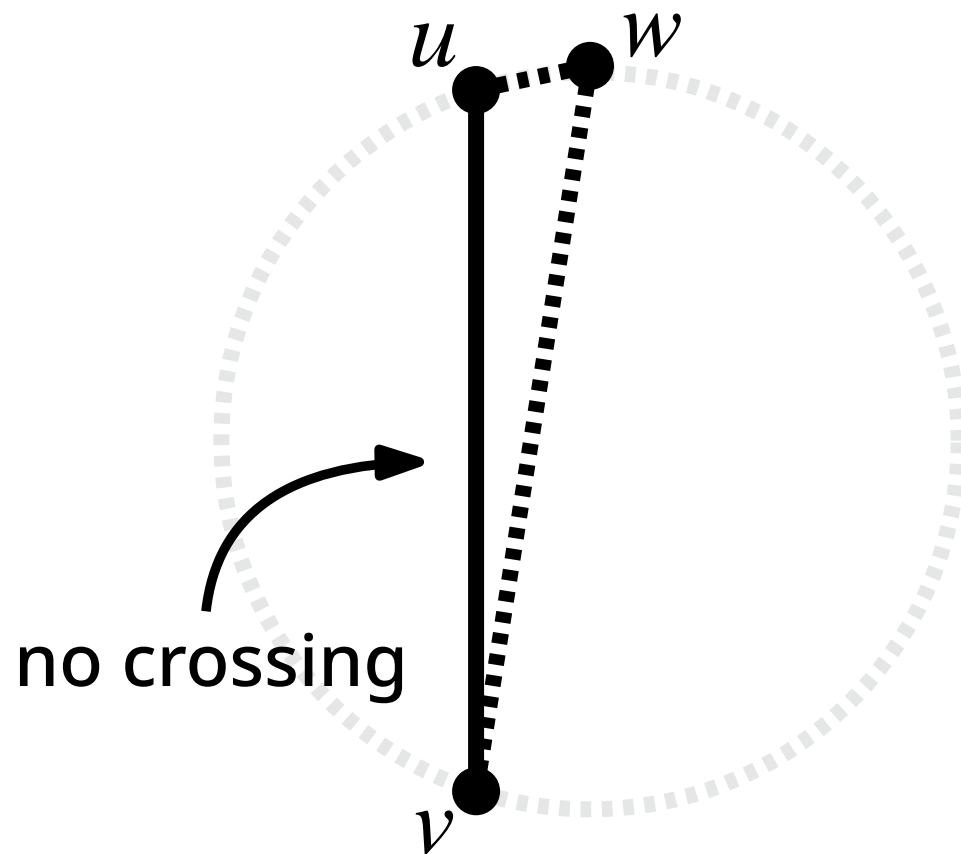
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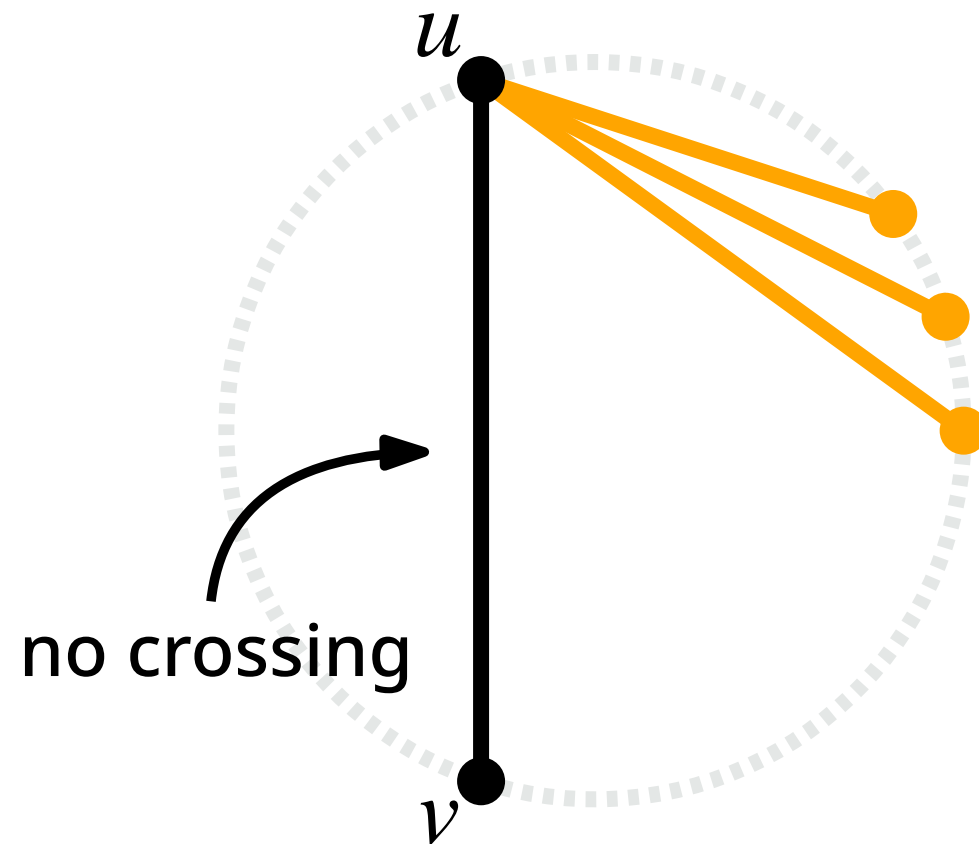
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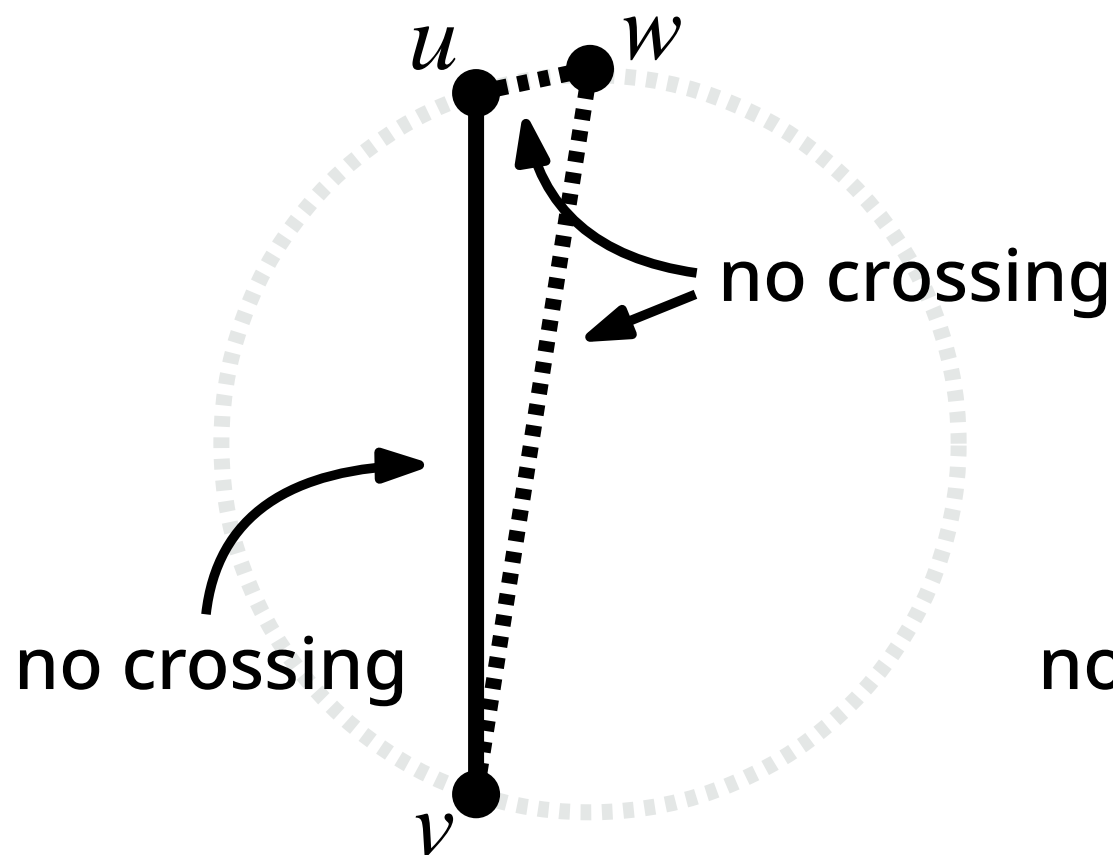
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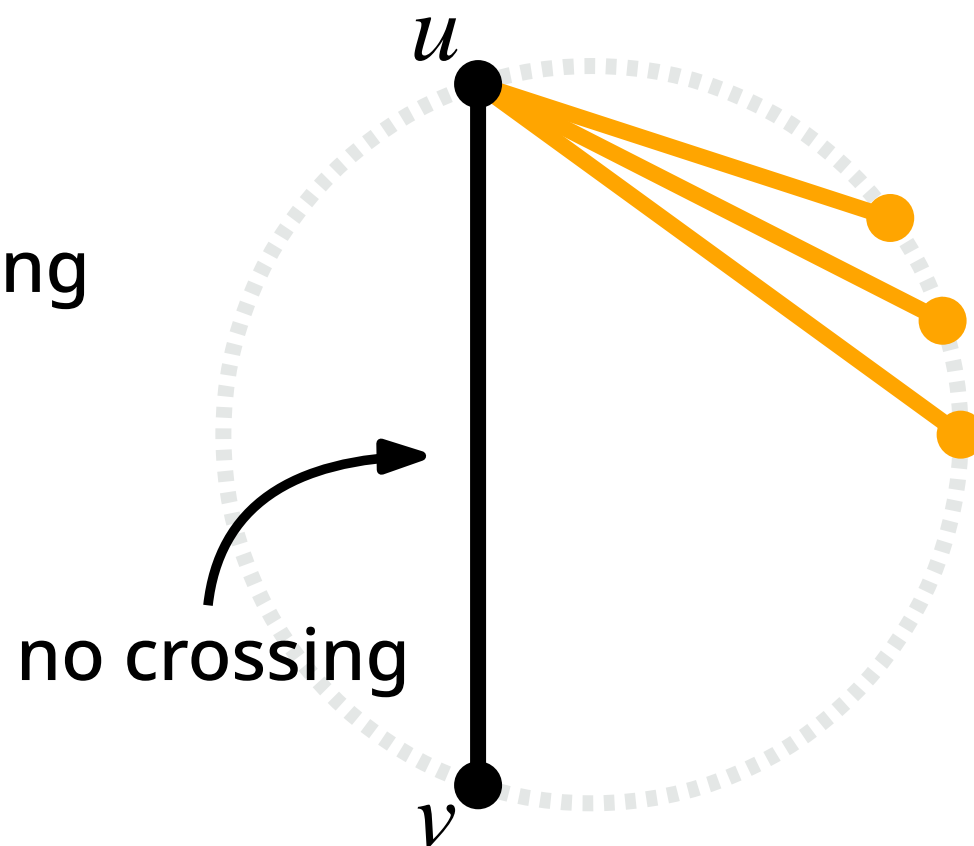
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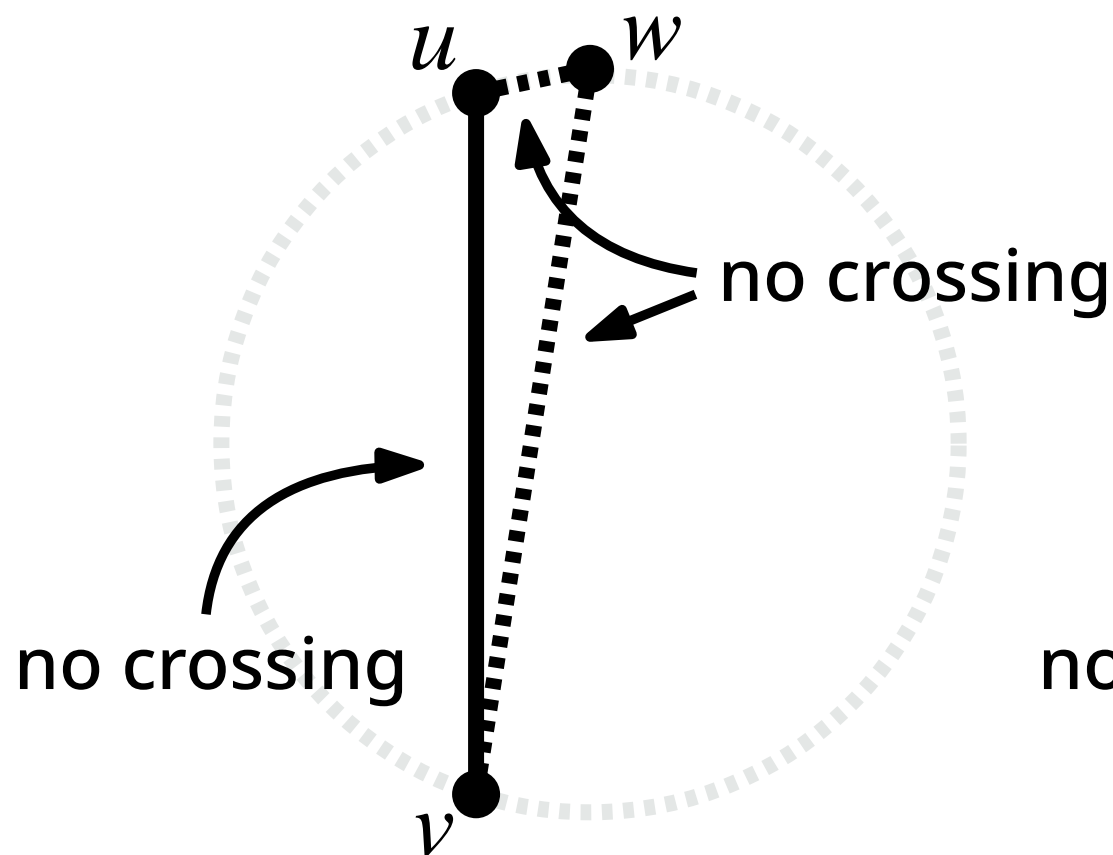
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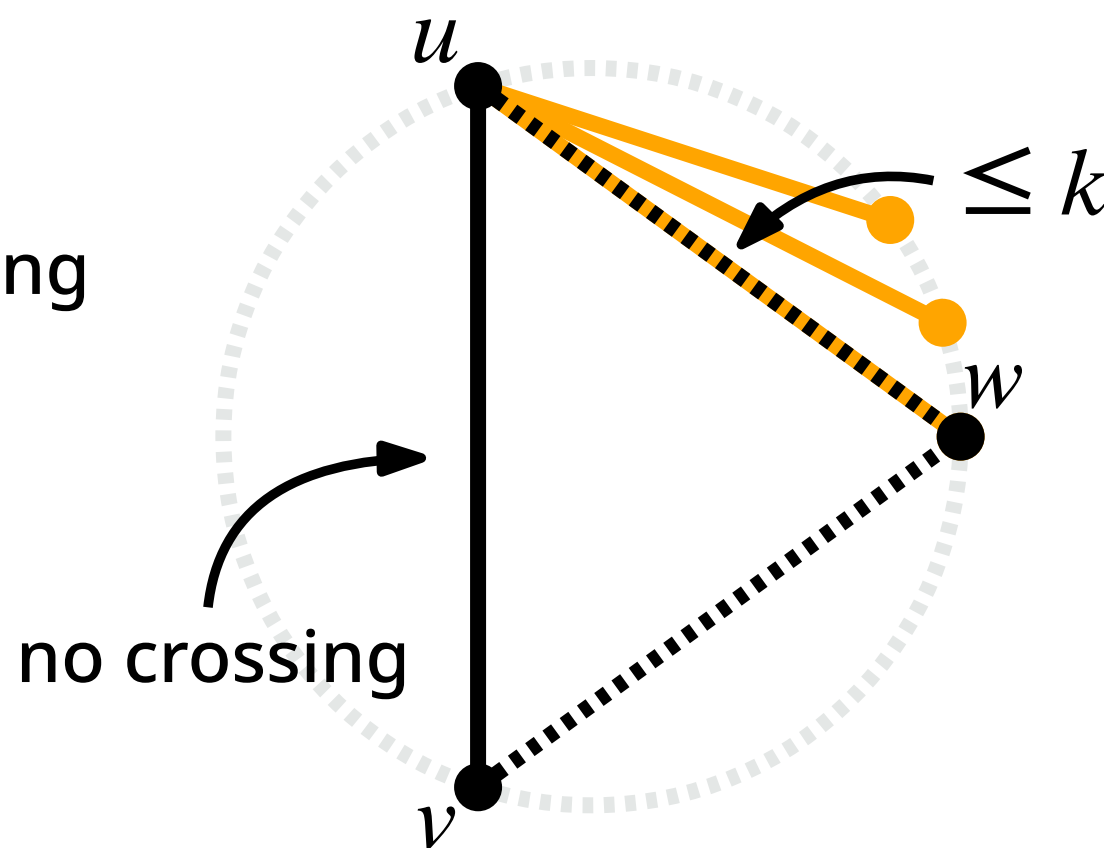
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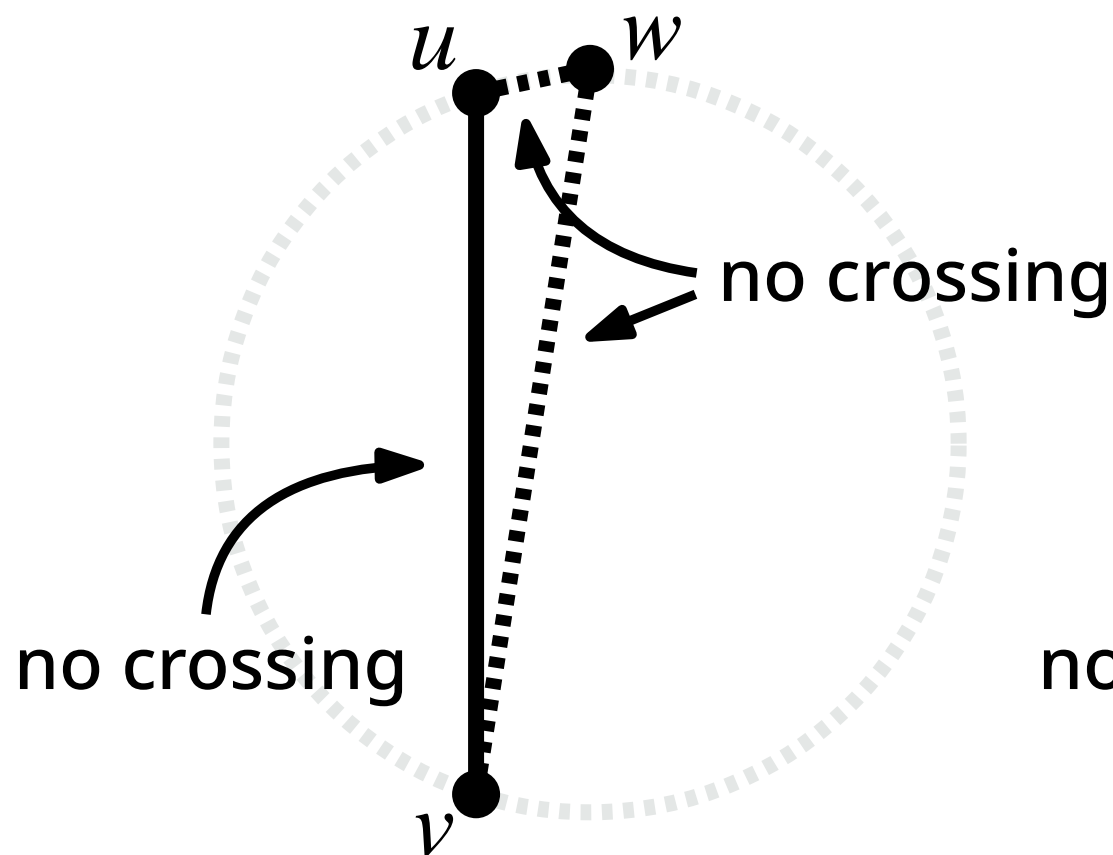


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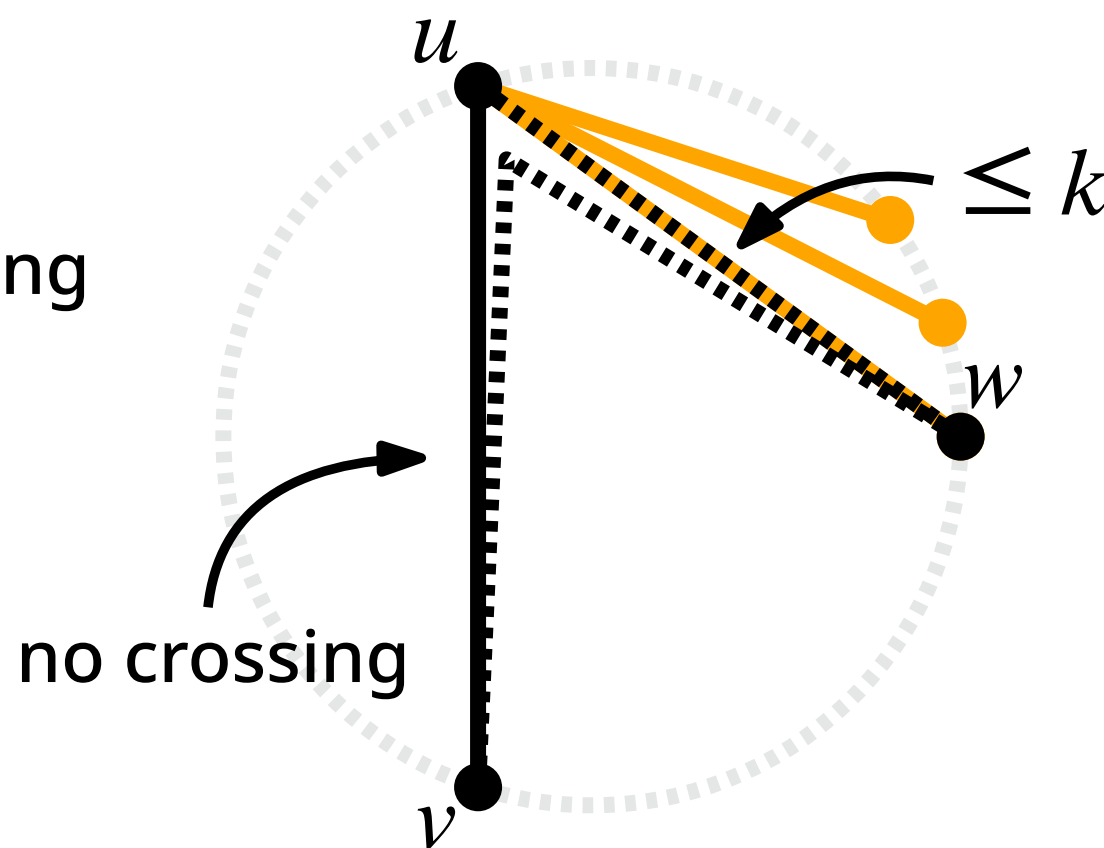
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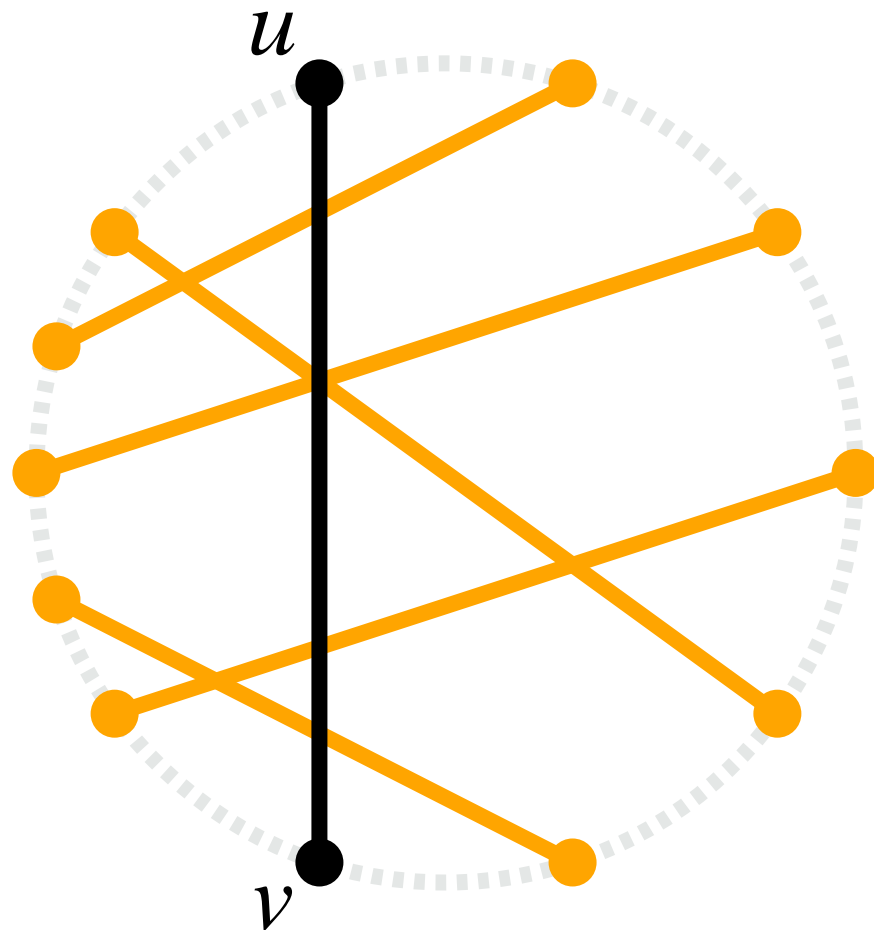
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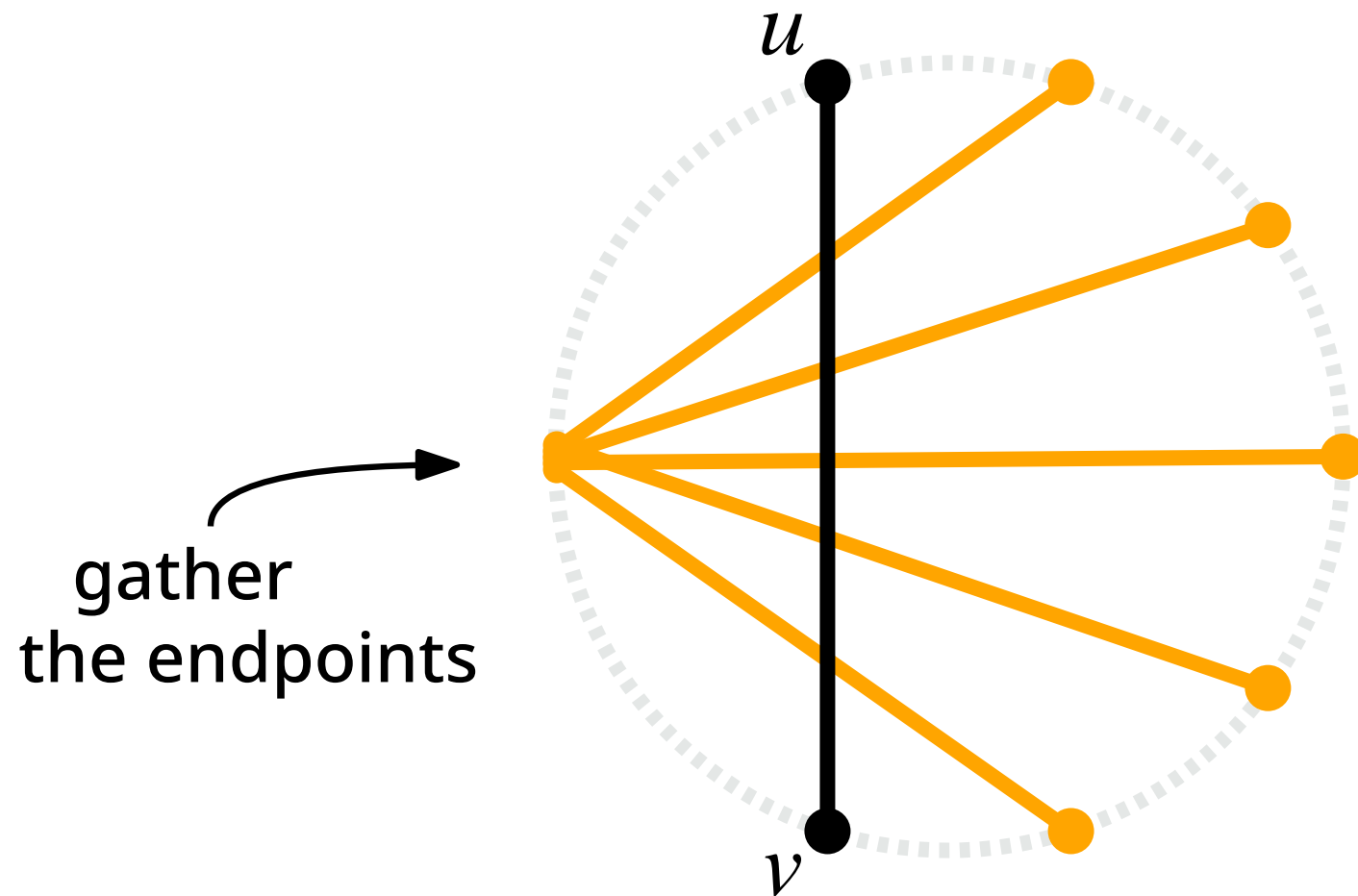
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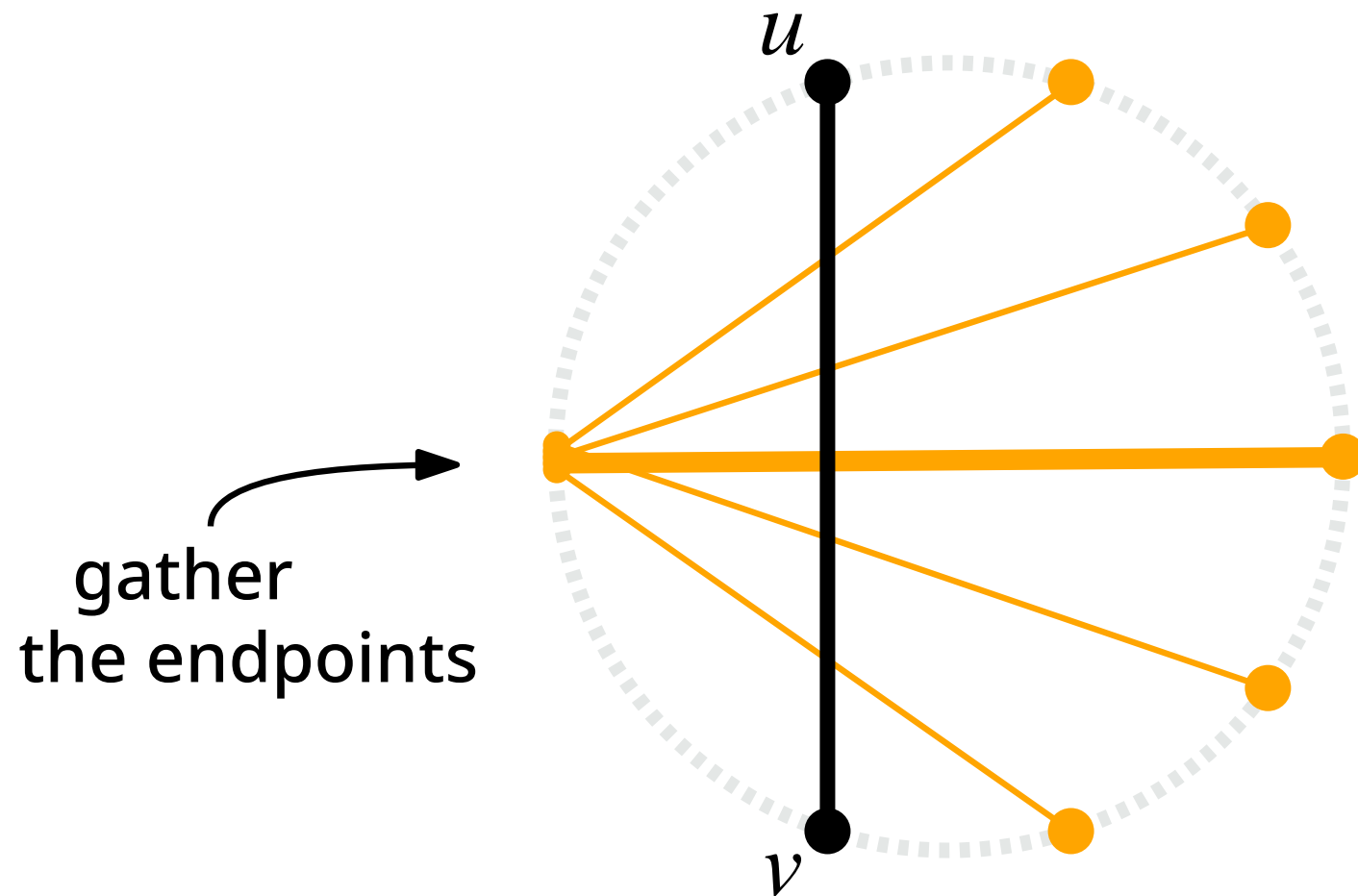
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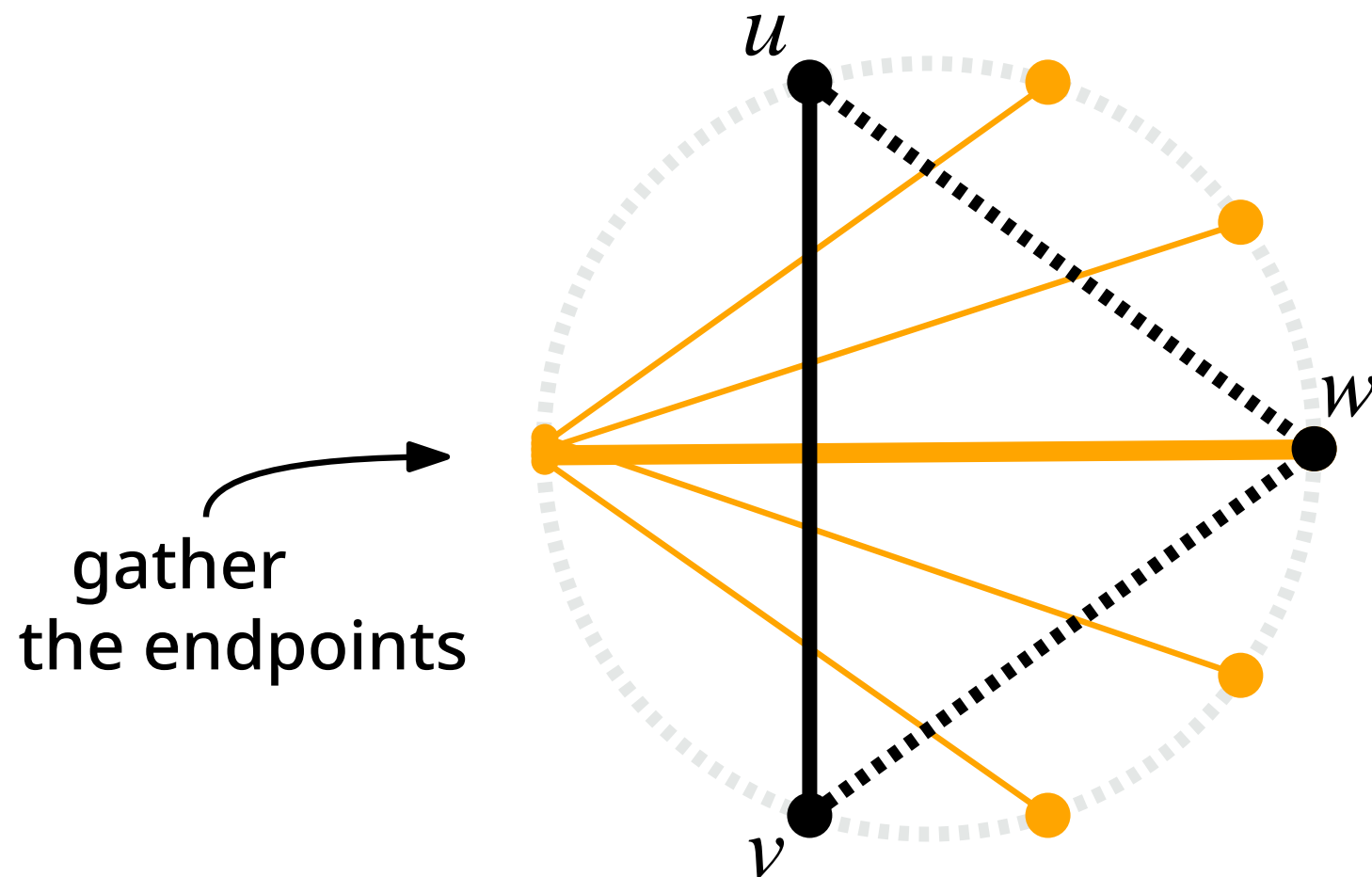
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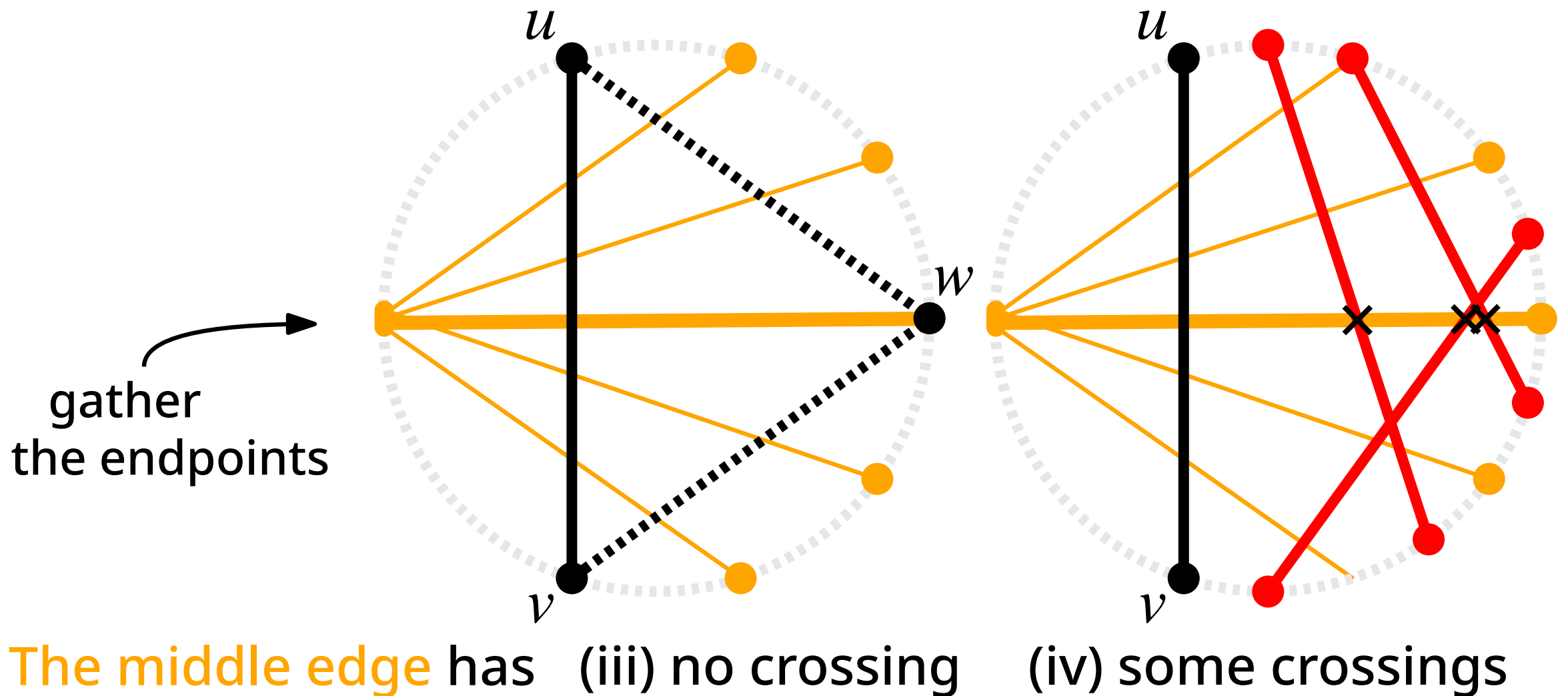
If  $\{u, v\}$  has some crossings,



The middle edge has (iii) no crossing

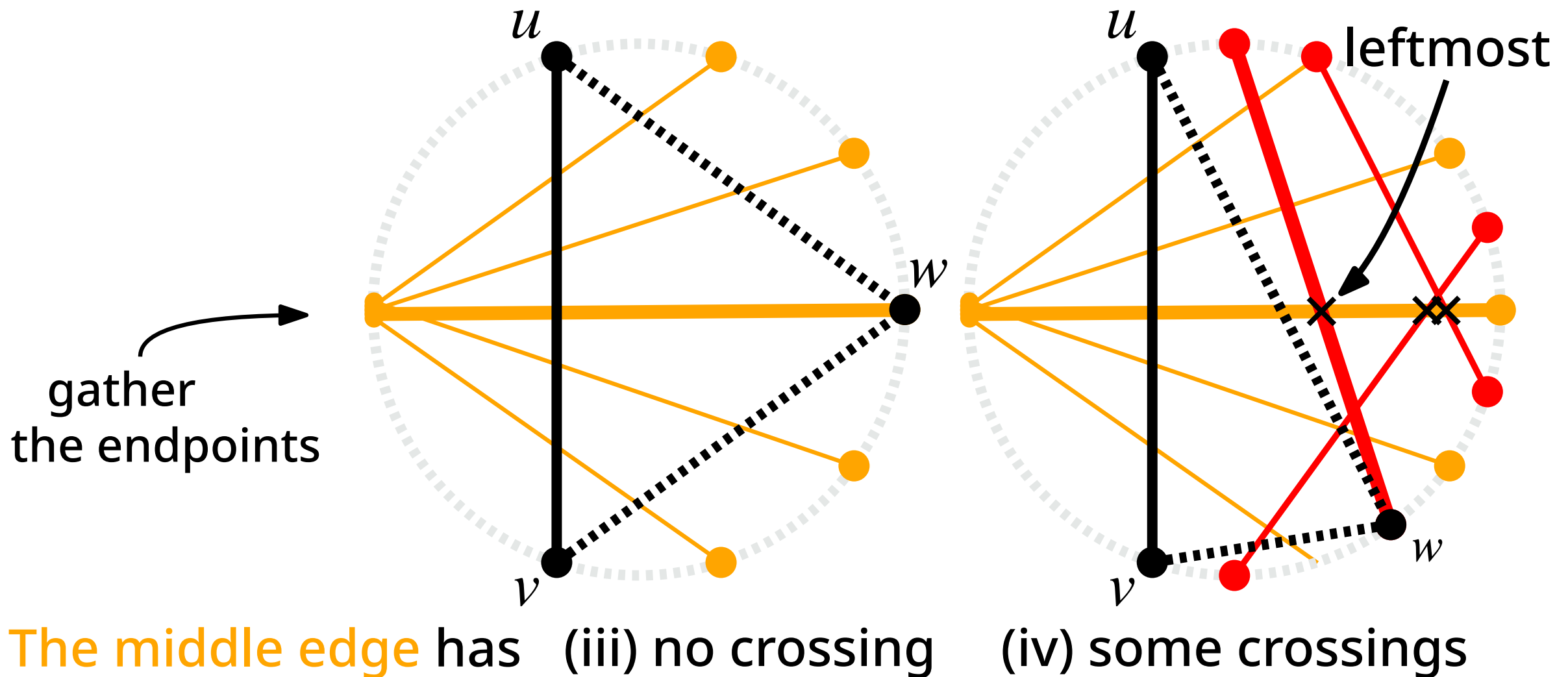
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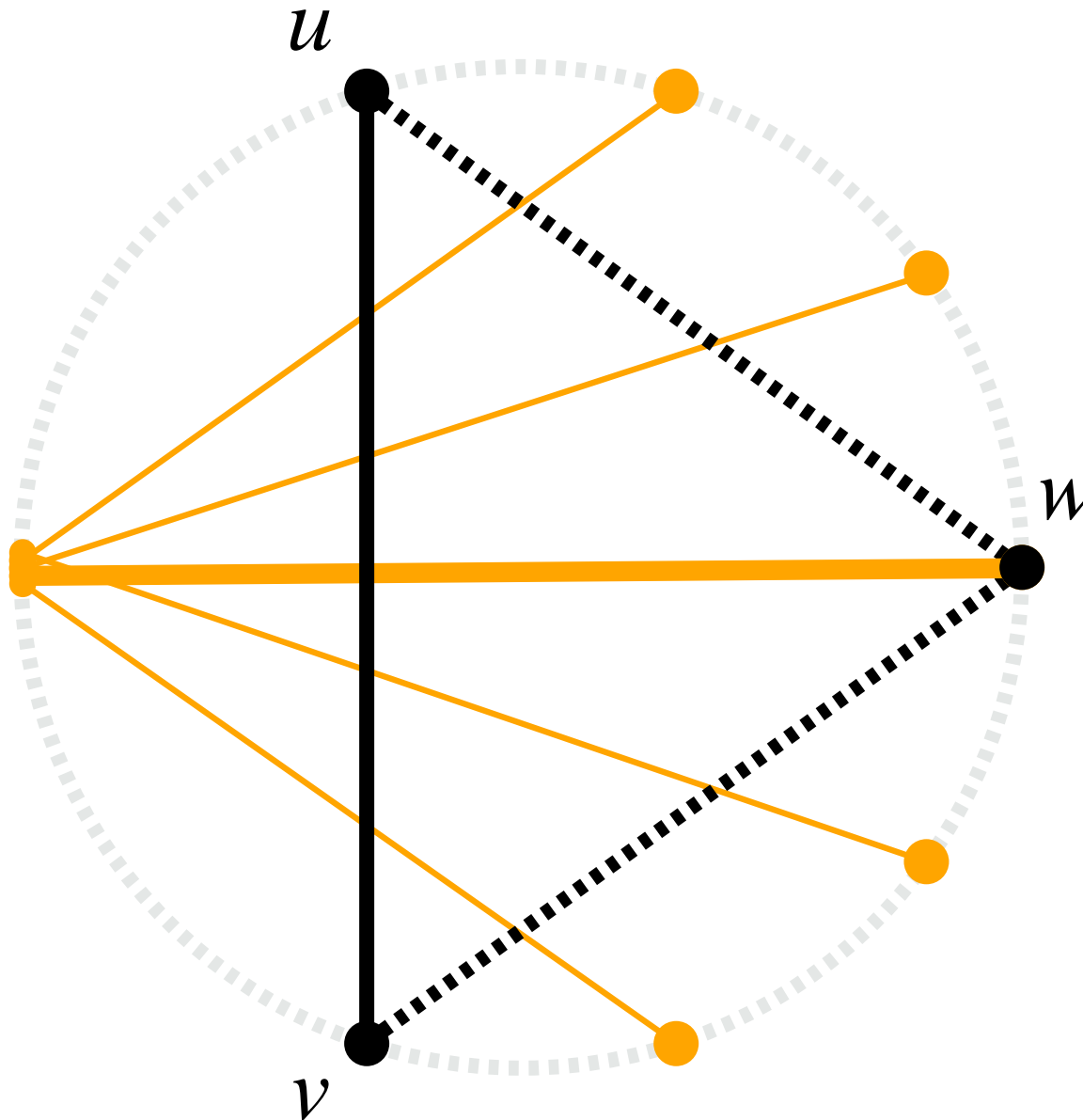
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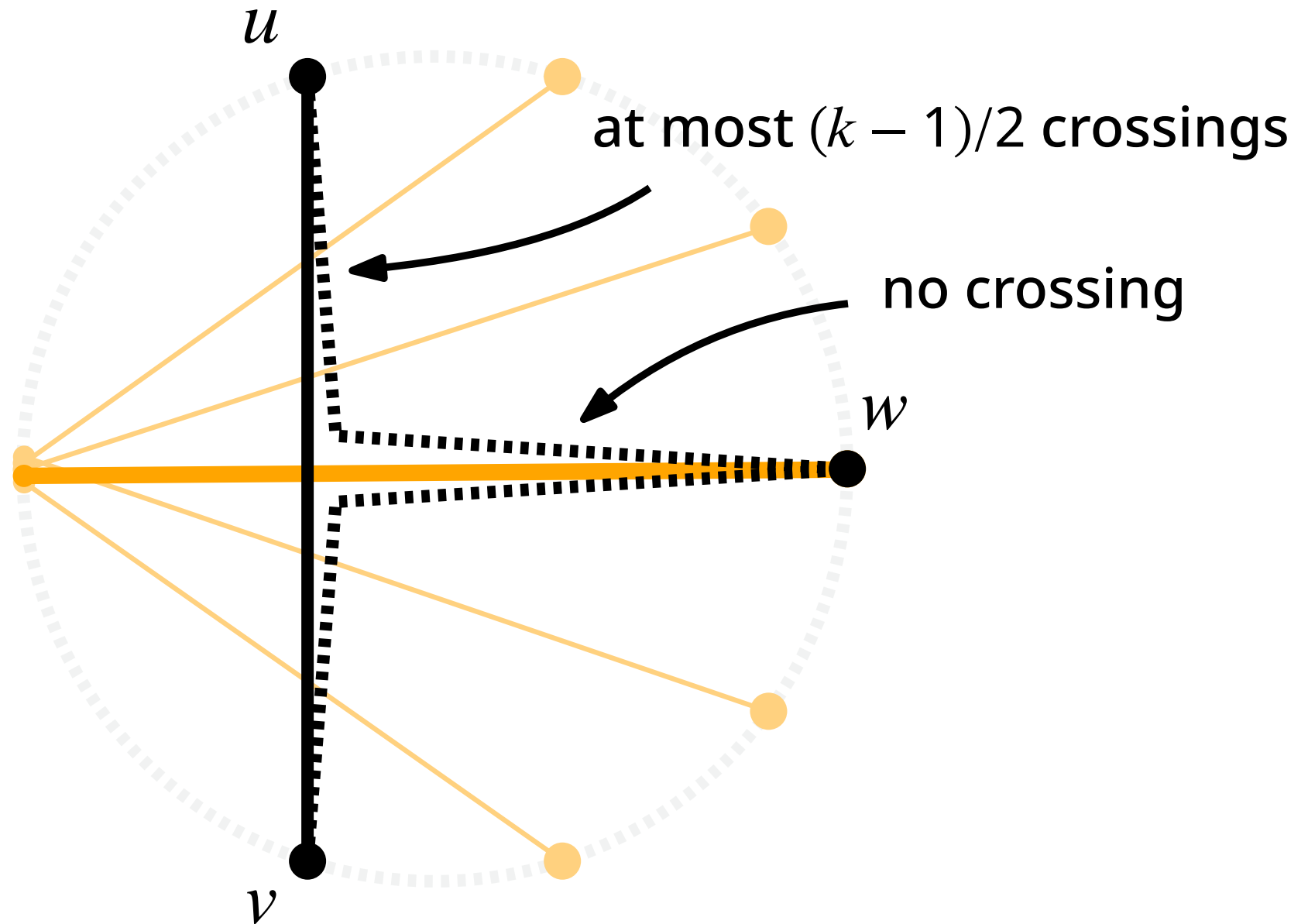
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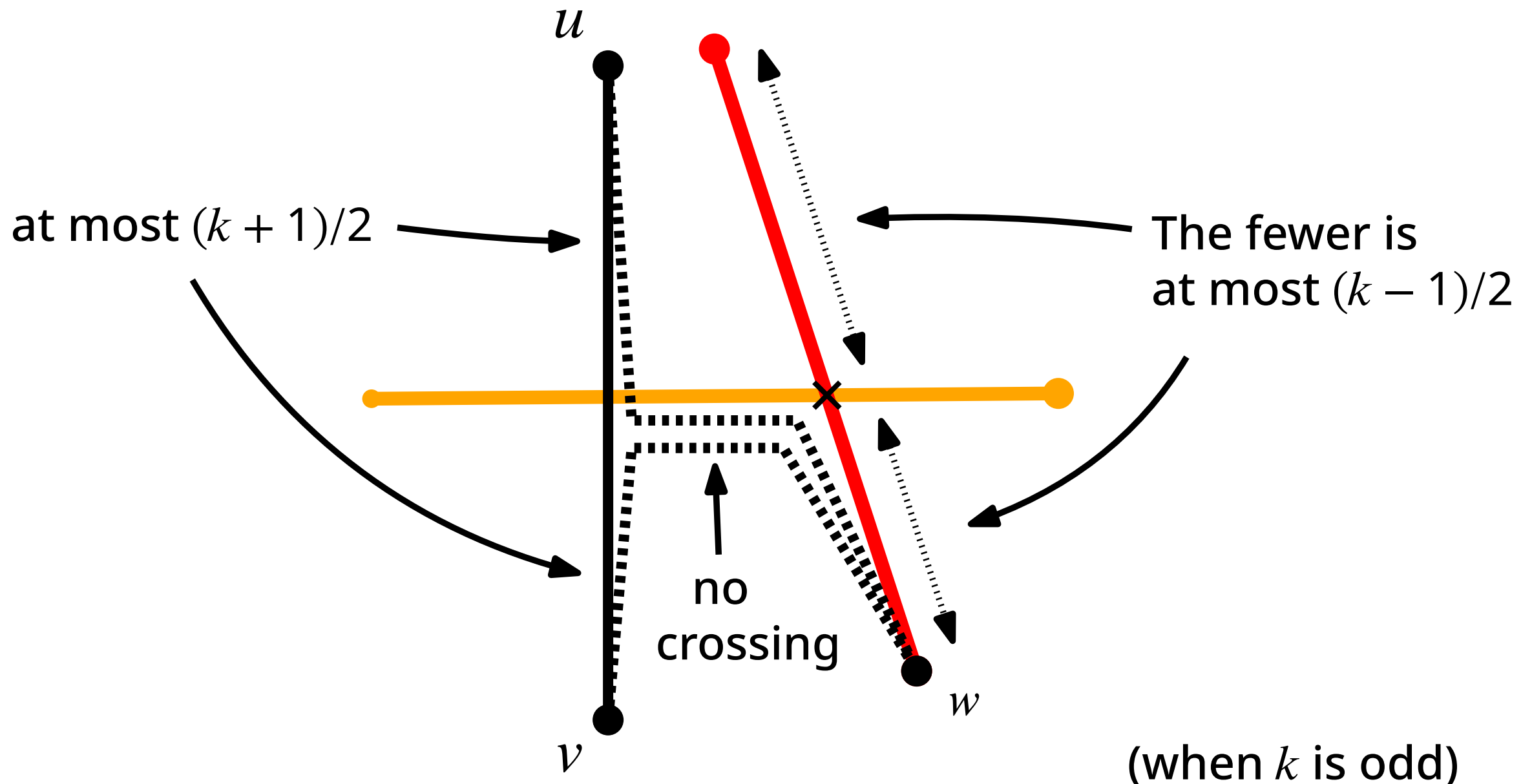
(iii) **The middle edge** has no crossing.



# Correctness of the Greedy Algorithm

(iv) **The middle edge** has some crossings.

Choose **the endpoint of fewer side** as  $w$ .



(we have 2 more cases)

# Summary & Other Results

## Main Results

- Improved upper bounds via a good triangulation.

	Upper	Lower
$k$	$k + 2$	$k + 2$

separation number  
(Orange results are ours.)

	Upper	Lower
0	2	2 ( $K_3$ )
1	3	3 ( $K_4$ )
2	4	4 ( $K_5$ )
$k$	$1.5k + 2$	$k + 2$

treewidth

## Other Results

- Lower bounds with stacked prisms for even  $k$ .
- Treewidth 4 for  $k = 2$  by a specialized triangulation.
- Similar results on outer min- $k$ -planar graphs.

# Future Work & Open Problems

**Other application of the triangulation?**

**Fill the gap between bounds of the treewidth.**

**Poly-time recognition of outer  $k$ -planar graphs.**

Linear-time algorithm known for  $k = 1$  [Auer et al., '16].

For fixed  $k$ ,  $O(2^{\text{poly}(\log(n))})$ -time [Chaplick et al., '17].

**Triangulation of  $k$ -planar graphs?**

Our triangulation can be stated as this:

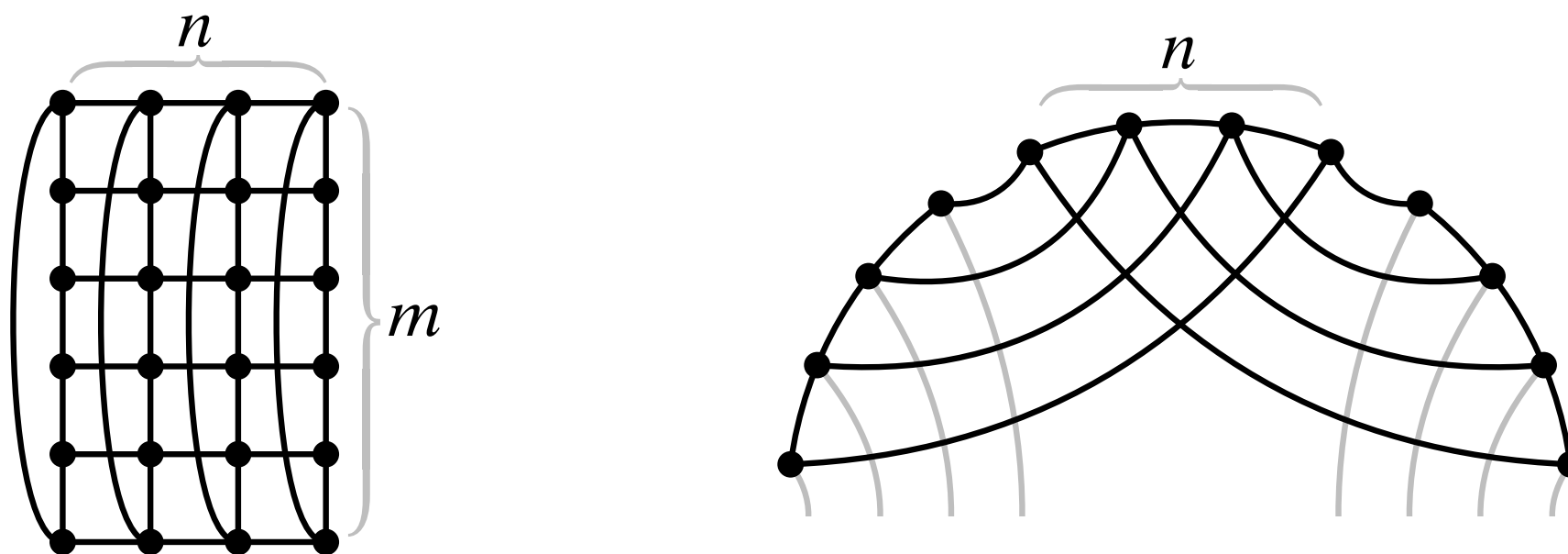
On every outer  $k$ -planar drawing, we can draw a maximal outerplane graph with the same vertices s.t. each edge crosses the drawing at most  $k$  times.

Does this also hold for  $k$ -planar graphs?

# Appendix: Lower Bounds

## Stacked Prisms

are obtained by connecting the top & bottom of grids.



The  $m \times n$  grid satisfies: (for large enough  $m$ )

- Outer  $(2n - 2)$ -planar graph
- Treewidth  $2n$
- Separation number  $2n$

→ lower bounds  $k + 2$  on both for every even  $k > 0$ .

# Appendix: Treewidth 4 for $k = 2$

## Lemma 8

Every outer 2-planar graph admits a triangulation s.t. each triangle has **at most 4 crossing edges**.

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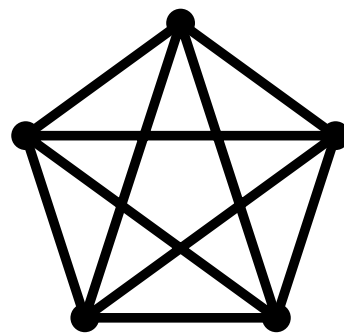
## Lemma 11

If the outer cycle of  $G$  admits a triangulation s.t. each triangle has **at most  $c$  crossings**, then  $\text{tw}(G) \leq (c + 5)/2$ .



## Theorem 12

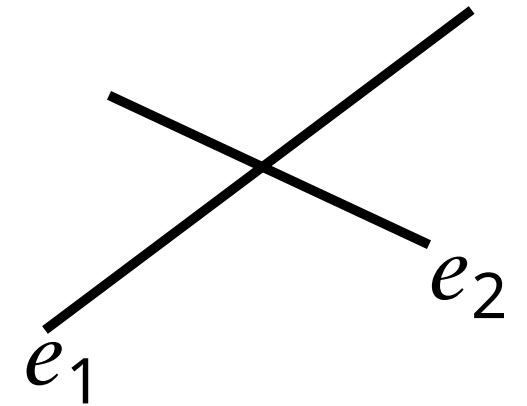
Every outer 2-planar graphs have treewidth at most 4, which is tight because of  $K_5$ .



# Appendix: Results on Outer Min- $k$ -Planar

## Min- $k$ -Planar

If edges  $e_1$  and  $e_2$  cross, then either  $e_1$  or  $e_2$  have at most  $k$  crossings.



## Lemma 9

Every outer min- $k$ -planar graph admits a triangulation s.t. each edge has at most  $2k - 1$  crossing edges.



## Theorem 14 (Treewidth)

Every outer min- $k$ -planar graph has tw at most  $3k + 1$ .

## Theorem 16 (Separation Number)

Every outer min- $k$ -planar graph has sn at most  $2k + 1$ .